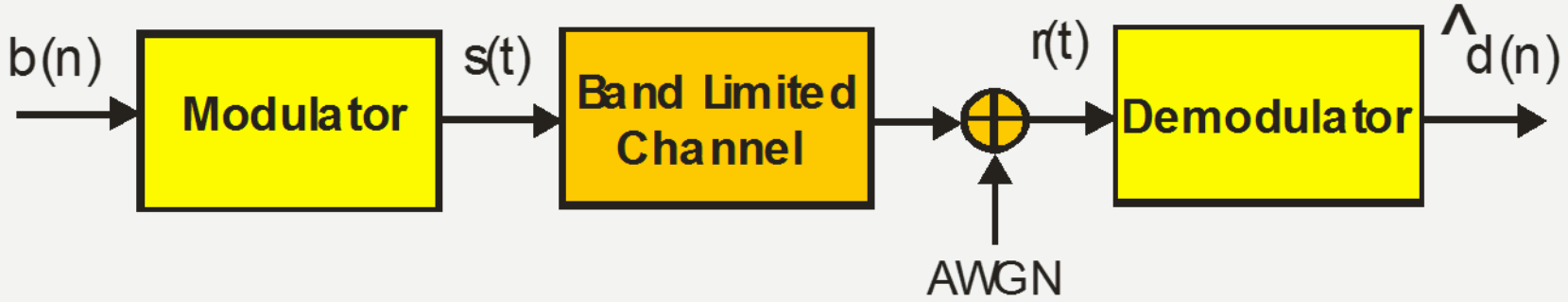
CONTINUOUS PHASE MODULATION (CPM)

PREAMBLES, DOPPLER ESTIMATES AND OPTIMAL RECEIVERS

fred harris[1] and Richard Bell[2]
[1,2]ECE Department, UCSD
[2]SPACE AND NAVAL WARFARE SYSTEMS CENTER PACIFIC (SSC PACIFIC)

DIGITAL MODULATION



$s(t) = R(t) \cdot \cos(\omega_c t + \phi(t))$: Amplitude and Phase Modulated Carrier

$= R(t) \cdot \cos(\phi(t)) \cdot \cos(\omega_c t) - R(t) \cdot \sin(\phi(t)) \cdot \sin(\omega_c t)$

$= I(t) \cdot \cos(\omega_c t) - Q(t) \sin(\omega_c t)$

$s(t) = \mathrm{Re}\{R(t) e^{j\phi(t)} e^{j\omega_c t}\}$: Polar Complex form with Baseband Modulation

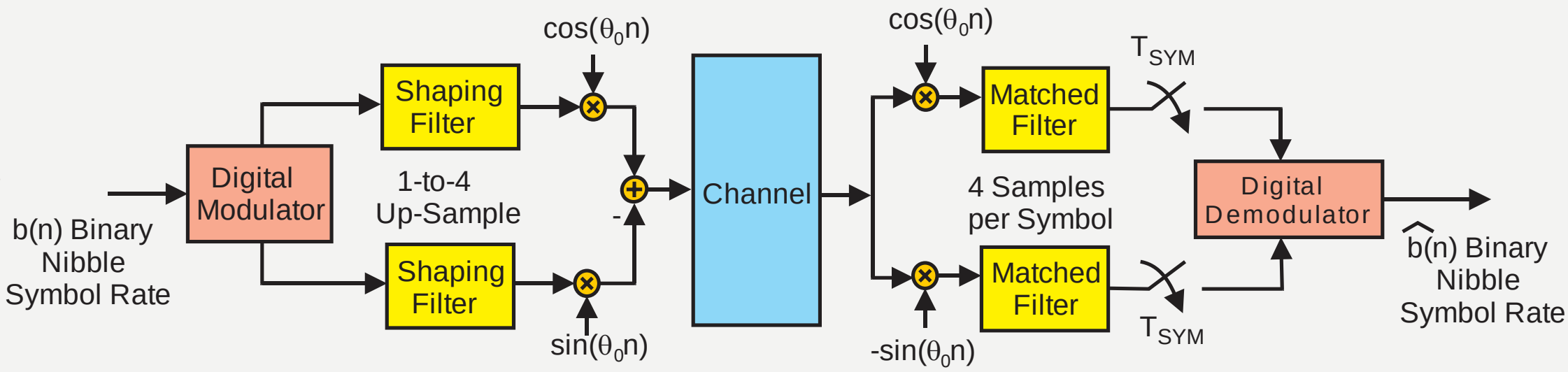
$R(t) e^{j\phi(t)} = \sum_k I_k g_k(t - kT)$: Digital Baseband Modulation,
Sum of Scaled Offset Waveshapes

I_k : one of 2^M Complex Amplitudes from Finite Look-up Table

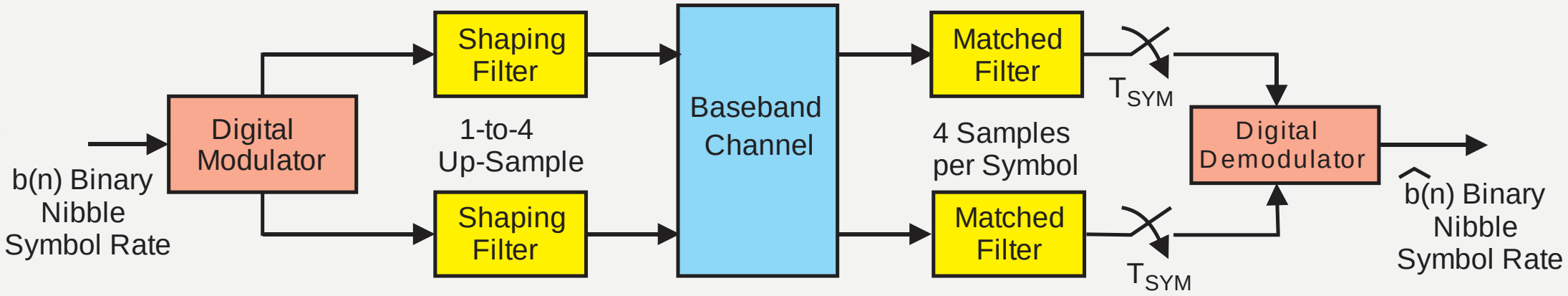
g_k : one of 2^Q Waveshapes from Finite List

SHAPING FILTER AND MATCHED FILTER IN MODULATOR AND DEMODULATOR

REAL, CARRIER CENTERED MODEL OF MODULATOR AND DEMODULATOR



Complex, Baseband Model of Modulator and Demodulator



VARIOUS QAM MODULATION CLASSES

- Linear Memoryless Modulation
  - Pulse Amplitude Modulation (PAM)
  - Phase Shift Keying (PSK)
  - Quadrature Phase Shift Keying (QPSK)
  - Quadrature Amplitude Modulation (QAM)
- Linear Modulation with Memory
  - Offset QPSK (O-QPSK)
  - $\pi/4$-QPSK (pi/4-QPSK)
- Non Linear Modulation with Memory
  - Continuous Phase FSK (CPFSK)
  - Minimum-Shift Keying (MSK)
  - Gaussian MSK (GMSK)
  - Continuous-Phase Modulation (CPM)
  - Shaped Binary Phase Shift Keying (SBPSK)

"Practical Digital Wireless Signals, Cambridge University Press, Earl McCune

6.1 Signals and characteristics

The newest fundamental signal type to join the DWC pantheon is phase-shift keying (PSK). The reason for PSK's relatively tardy entry is easy to understand, however. As will be seen in detail later in the signal quality required for successful generation is quite high, and the amount of information required for successful reception of a PSK signal is the greatest of these fundamental signal types. In the early decades of DWC this type of signal quality was beyond the state of the art, so ASK and FSK were used. As time and technology progressed, achieving the required signal quality became possible – and PSK began to be used.

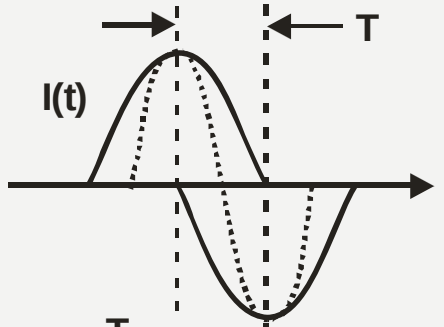
Author's dilemma

In the logical signal progression being followed so far, one might expect that this discussion on PSK will focus on a Digital Wireless Communication signal type that strictly modulates the signal phase parameter, just as ASK only modulates the amplitude parameter and FSK only modulates the frequency parameter. Indeed, this type of signal is possible, practical, and useful. Yet for a number of reasons this form of PSK, which we shall refer to here as "pure-PSK", is almost never used. What the great majority of signal designers call PSK is actually a special case of QAM (quadrature amplitude modulation).

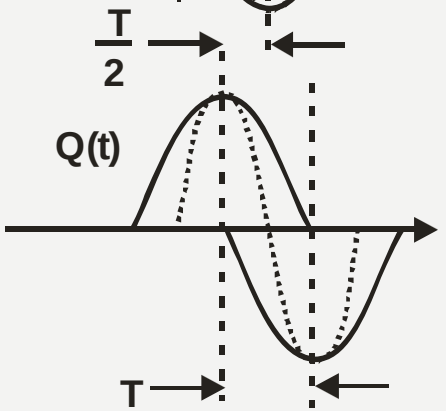
In the remainder of this text we shall refer to this form as "conventional-PSK". Therefore, the main difference between pure- and conventional PSK is that pure-PSK is restricted to being a constant envelope signal by operating a one-dimensional phase-only modulation process directly from the PSK states, while conventional-PSK is not restricted in this way. Both forms of PSK are discussed in this chapter. Interleaved discussions address each type of PSK as appropriate in the context.

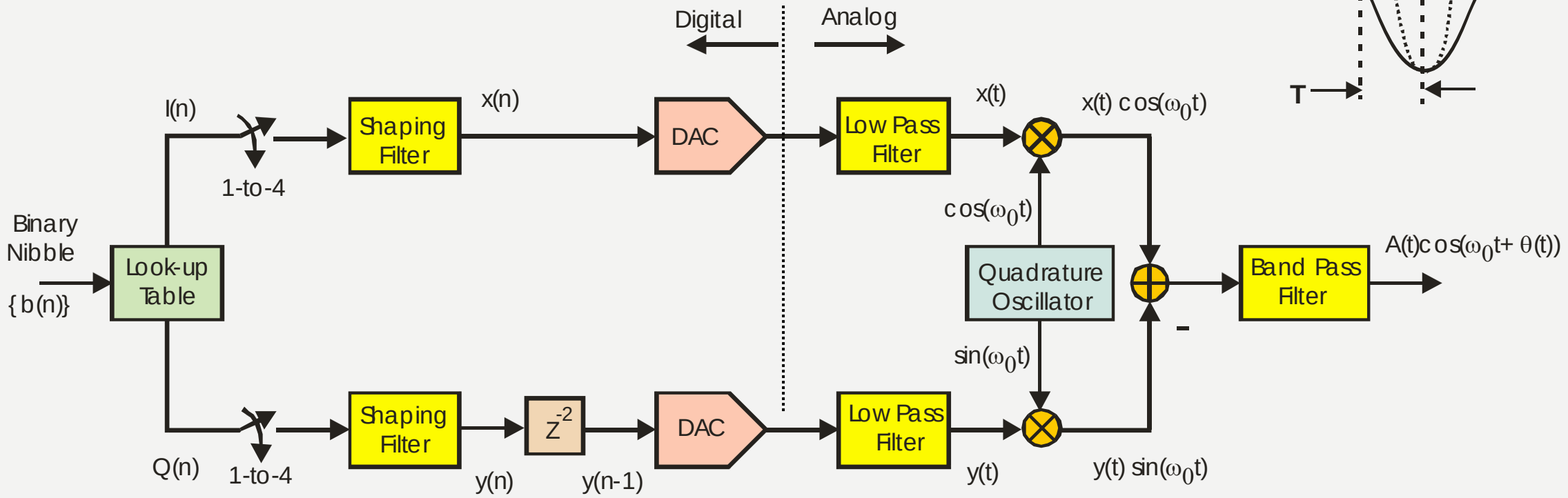
OFFSET-QPSK MODULATOR

QPSK Signals: T Second Spacing
Of Successive Symbols

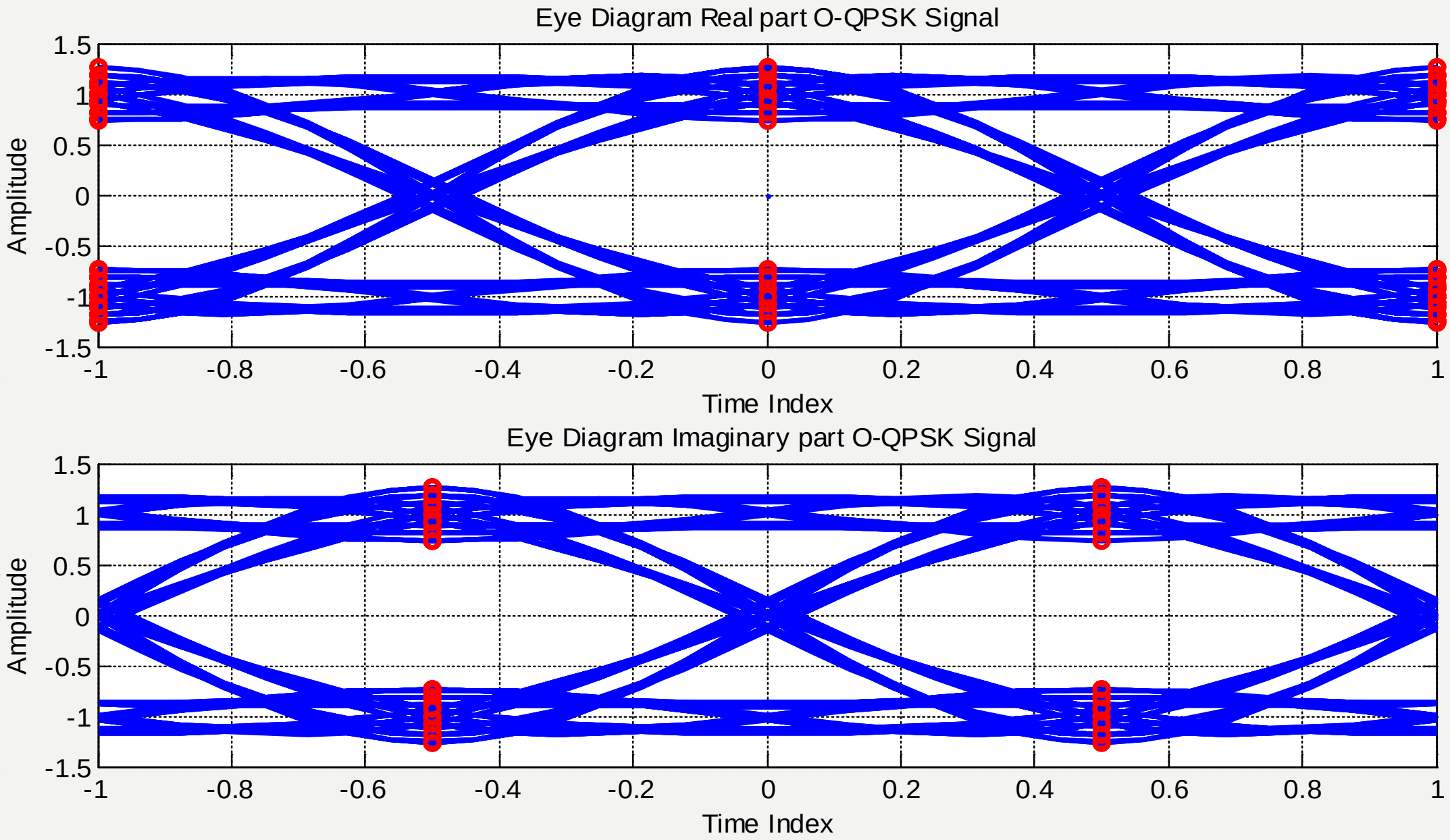


O-QPSK Signals: T/2 Second Spacing
Of In-Phase & Quadrature Symbols

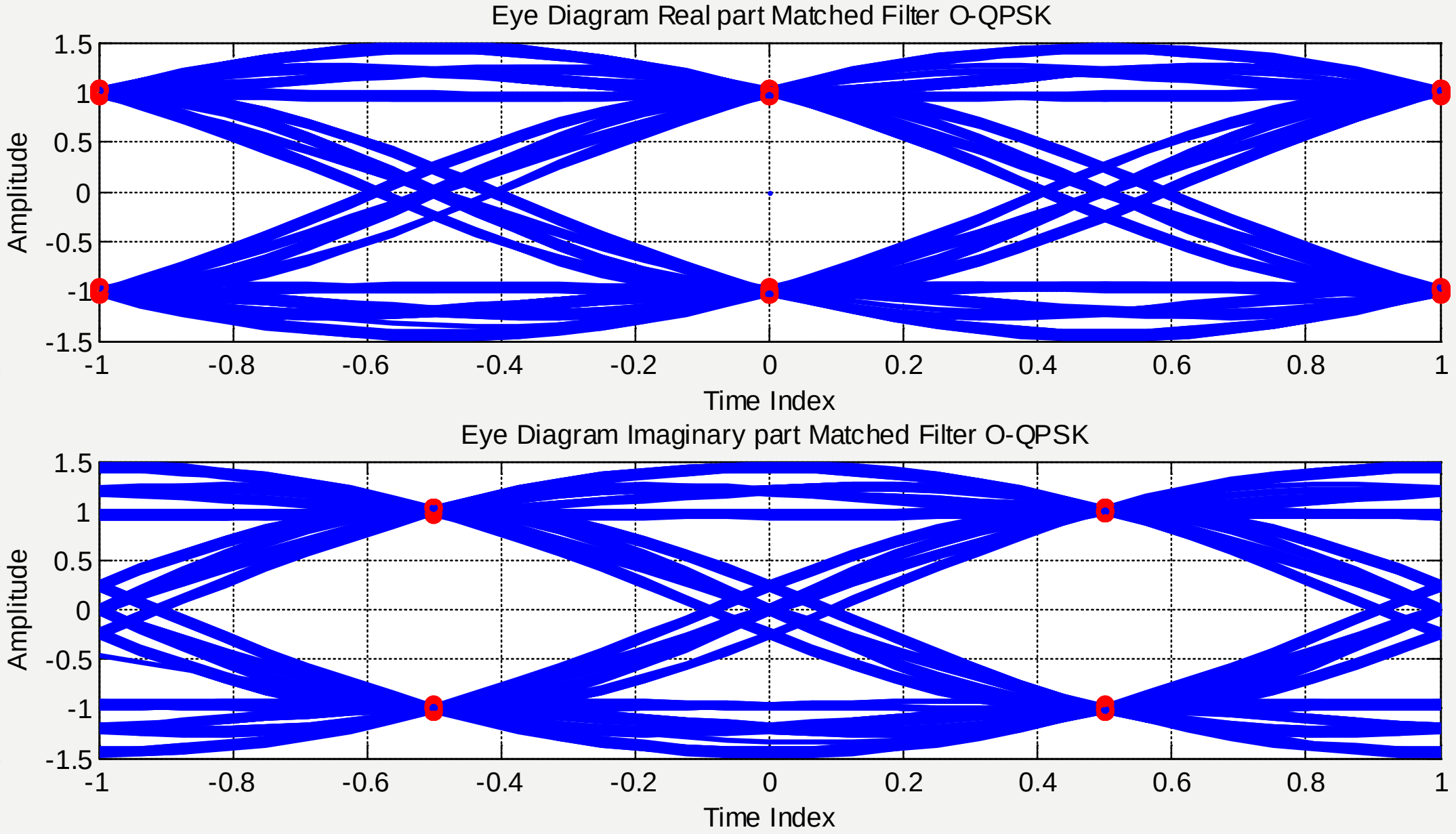




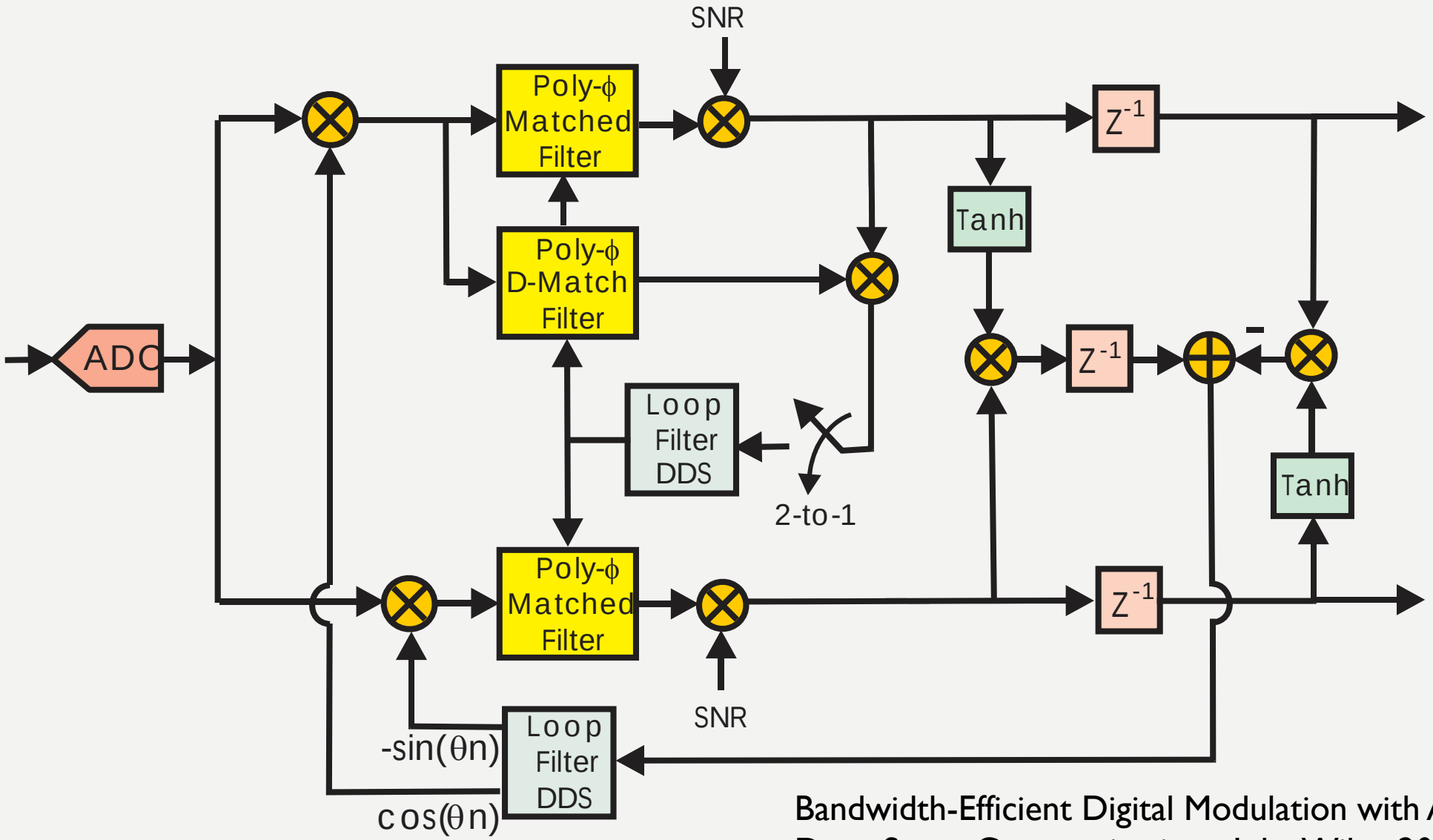
Modulator Eye Diagrams O-QPSK



Demodulator Eye Diagrams O-QPSK



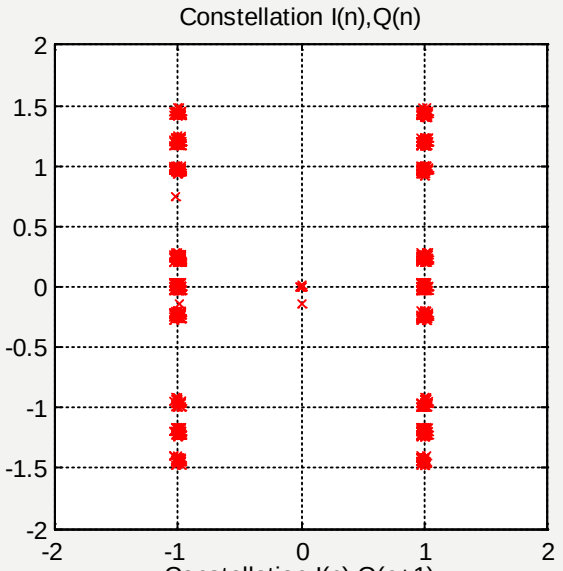
OQPSK COUPLED TIMING-CARRIER LOOPS

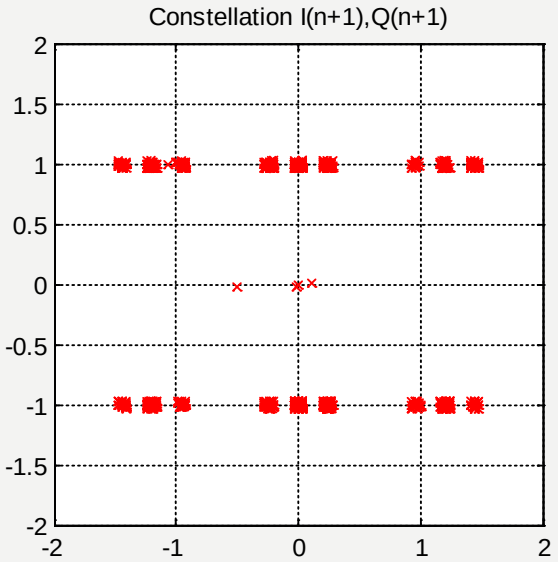


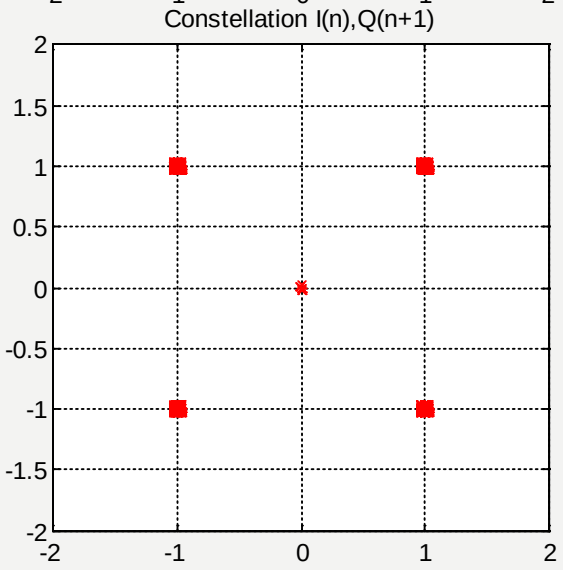
Bandwidth-Efficient Digital Modulation with Application to Deep Space Communications, John Wiley, 2003

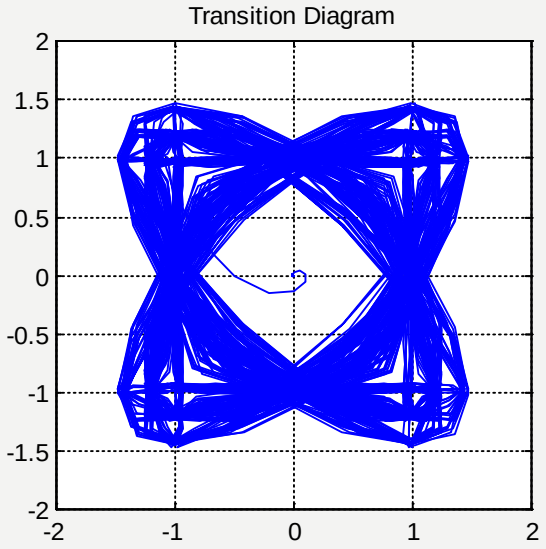
Carrier Synchronization of Offset Quadrature Phase-Shift Keying, Marv Simon, JPL TMO-report 42-133, 15-may 1998

OQPSK CONSTELLATIONS

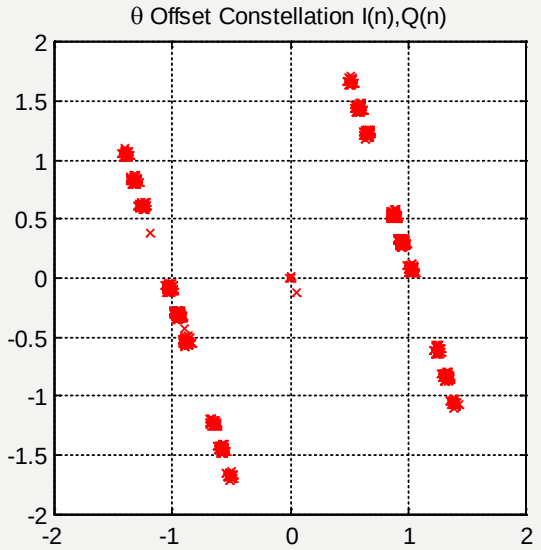


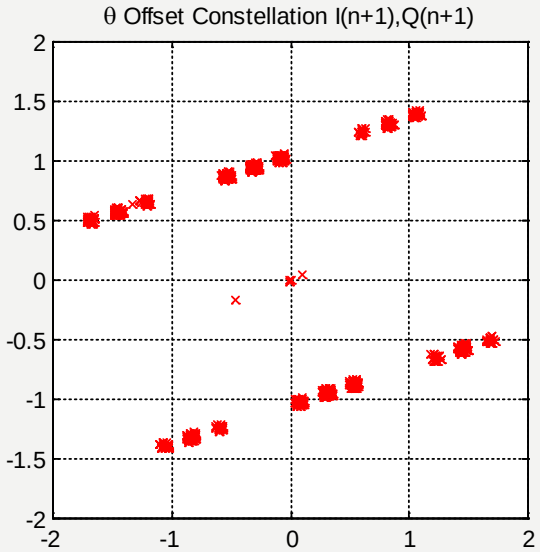


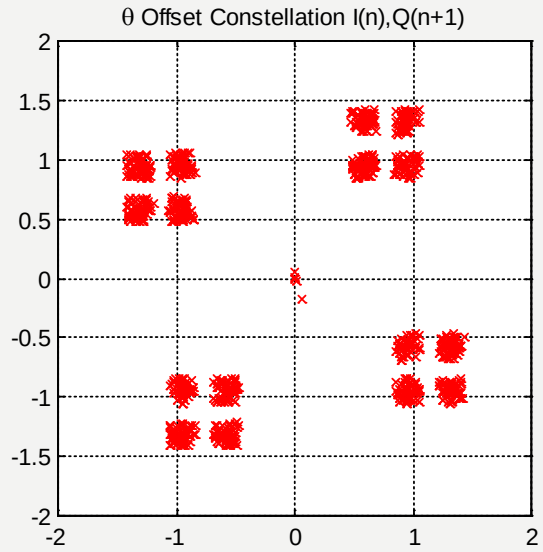


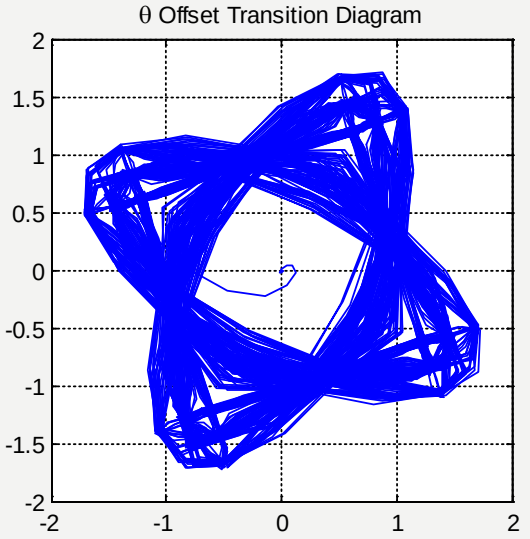


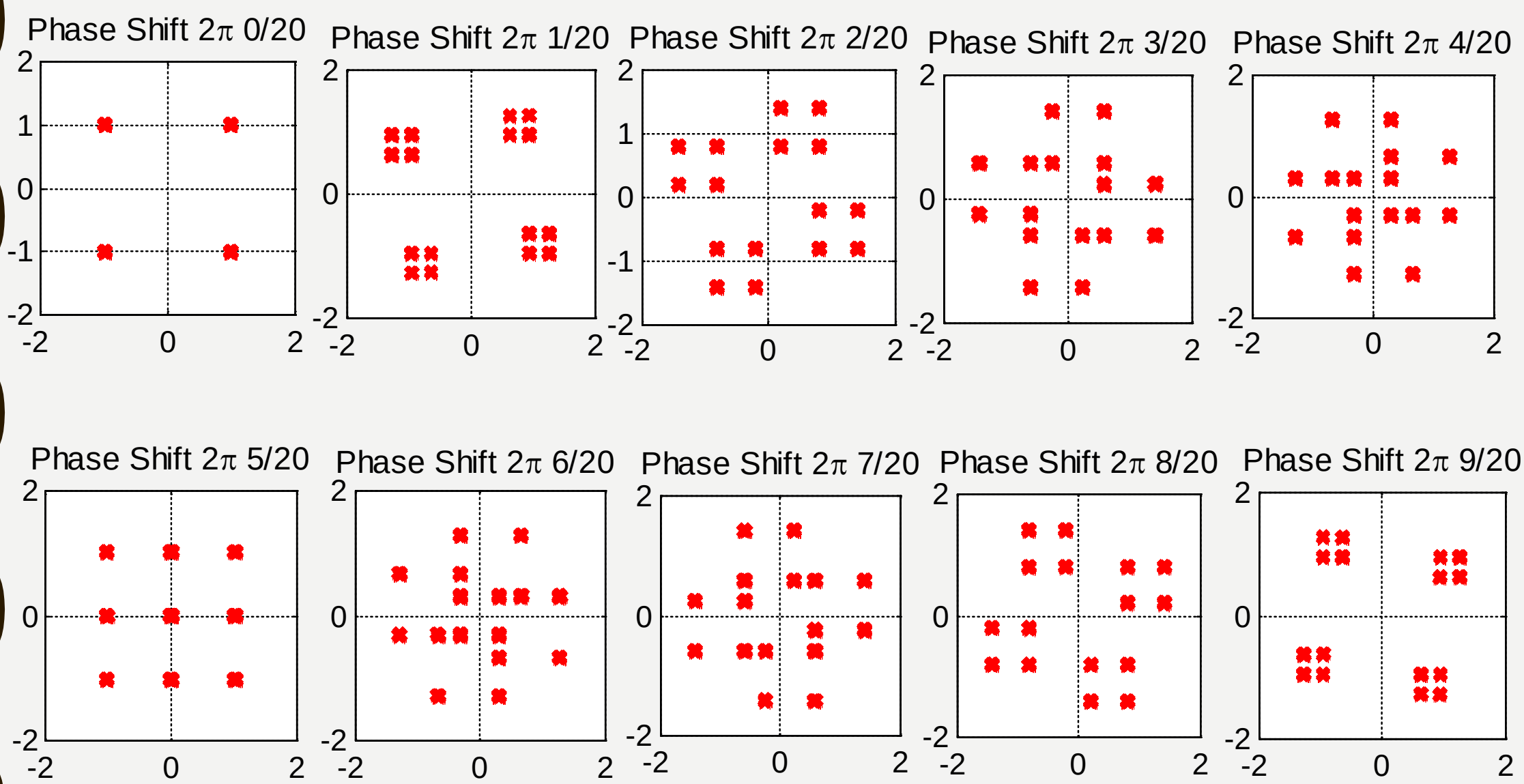
PHASE OFFSET OQPSK CONSTELLATIONS



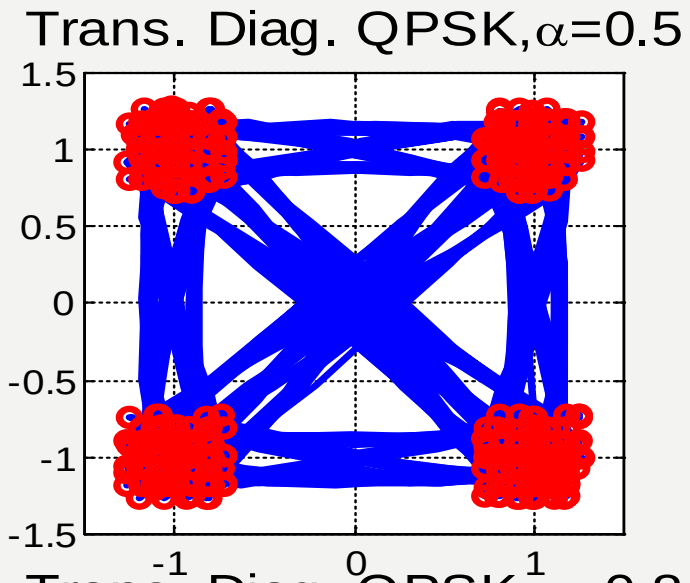


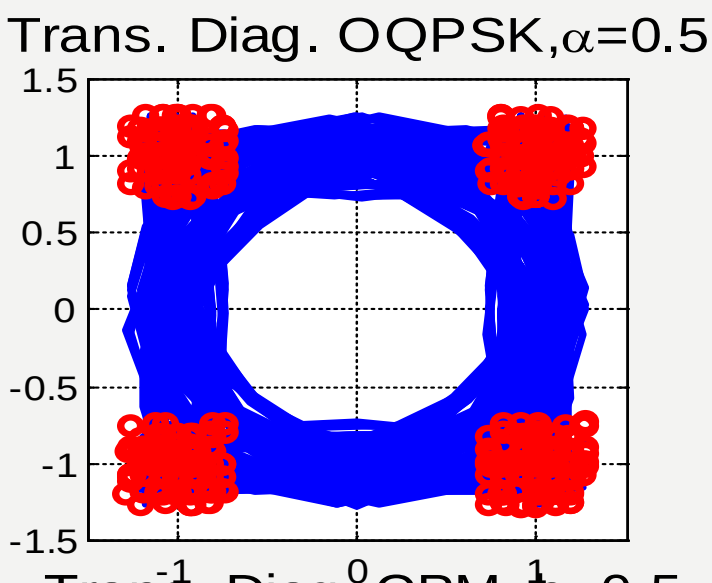


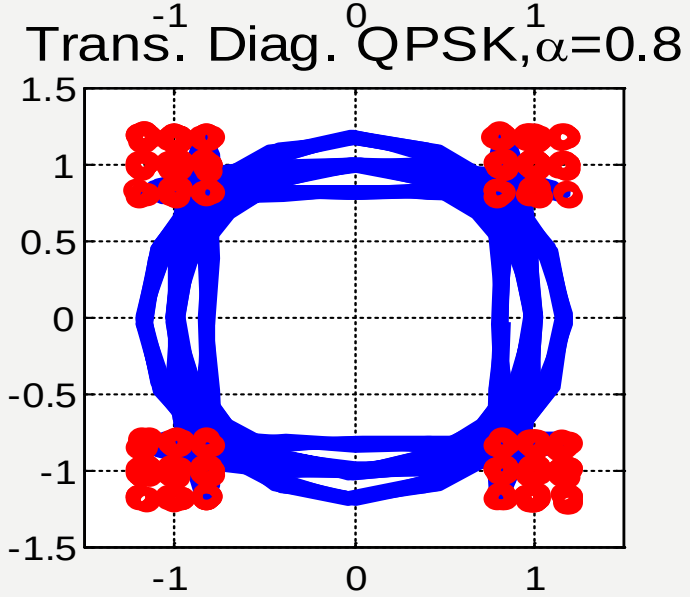


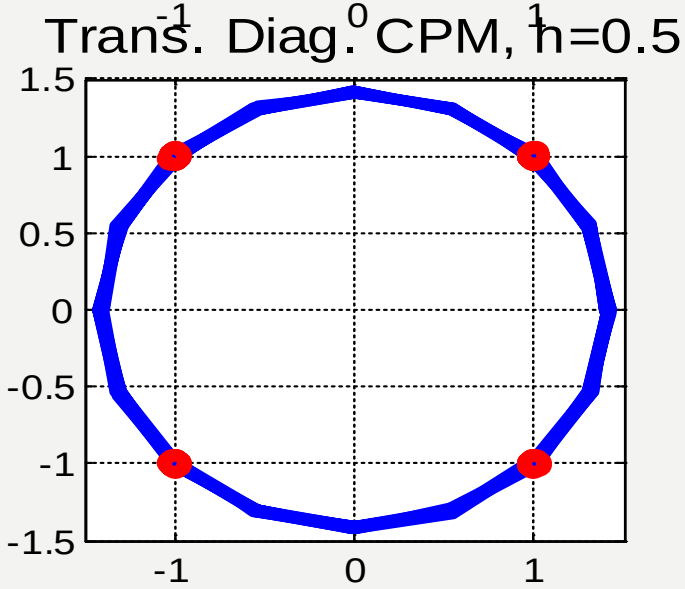

Phase Shift 2π 0/20
Phase Shift 2π 1/20
Phase Shift 2π 2/20
Phase Shift 2π 3/20
Phase Shift 2π 4/20
Phase Shift 2π 5/20
Phase Shift 2π 6/20
Phase Shift 2π 7/20
Phase Shift 2π 8/20
Phase Shift 2π 9/20

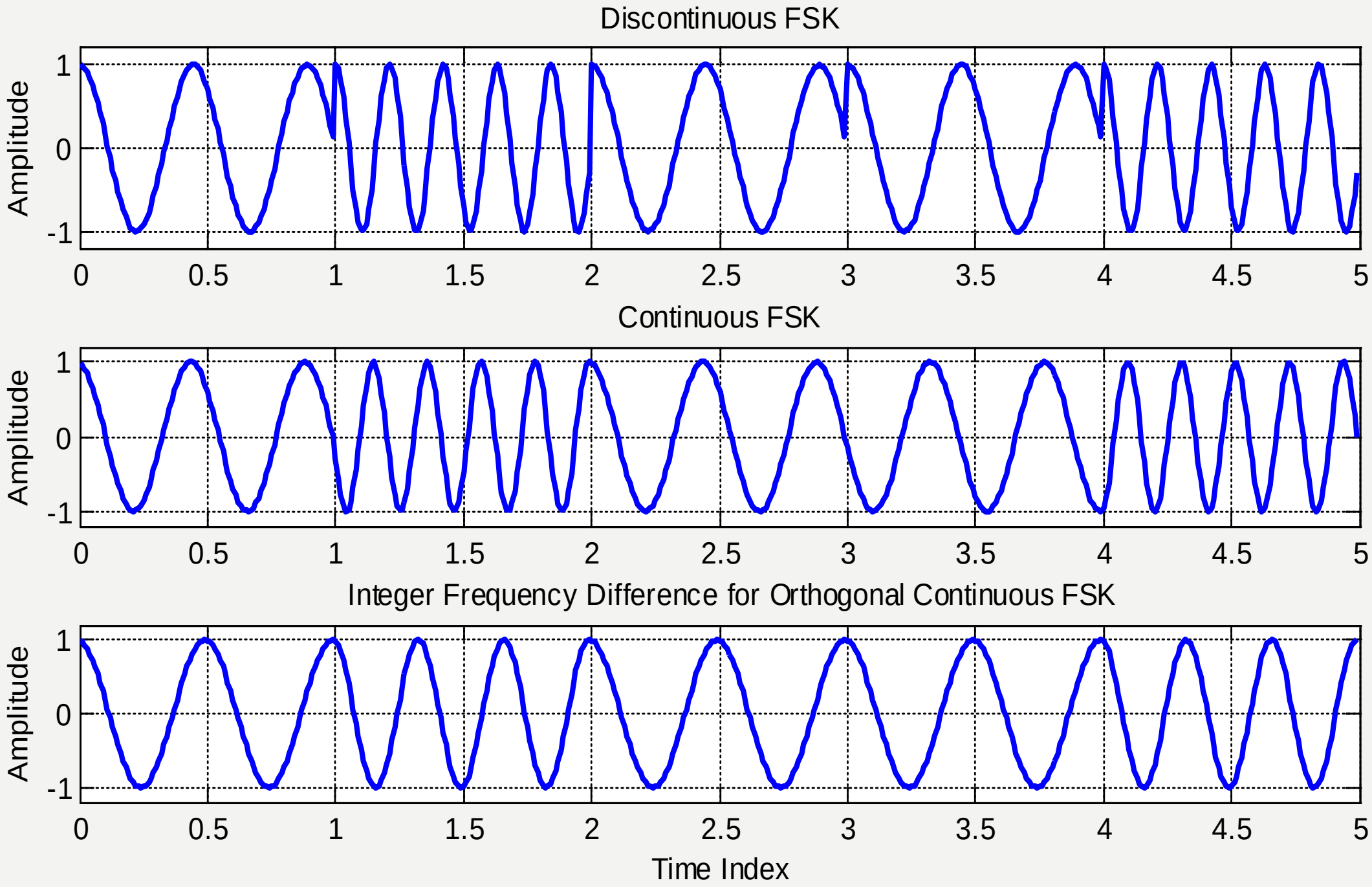
TRANSITIONS: QPSK, OFFSET QPSK, CPM

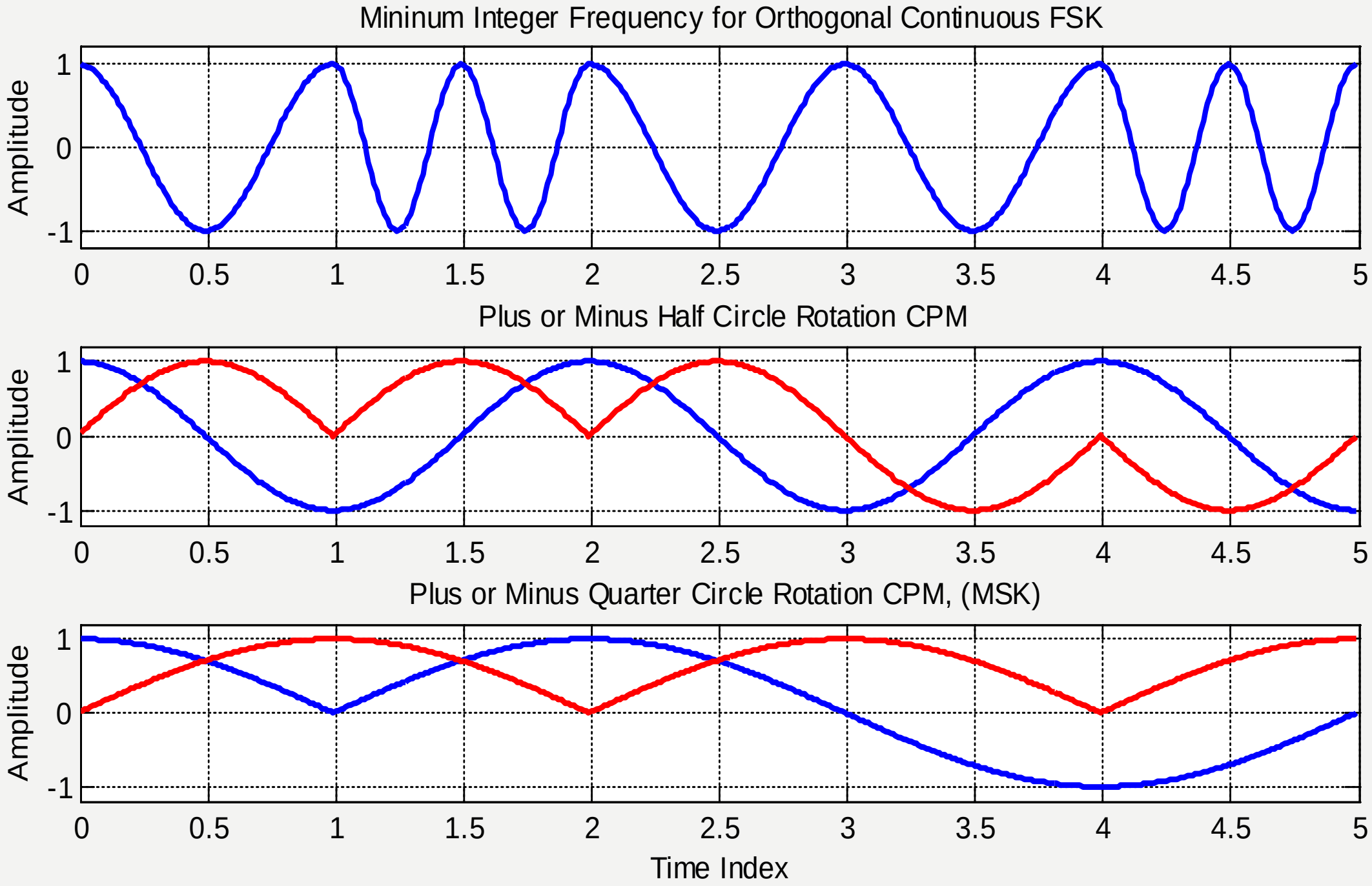


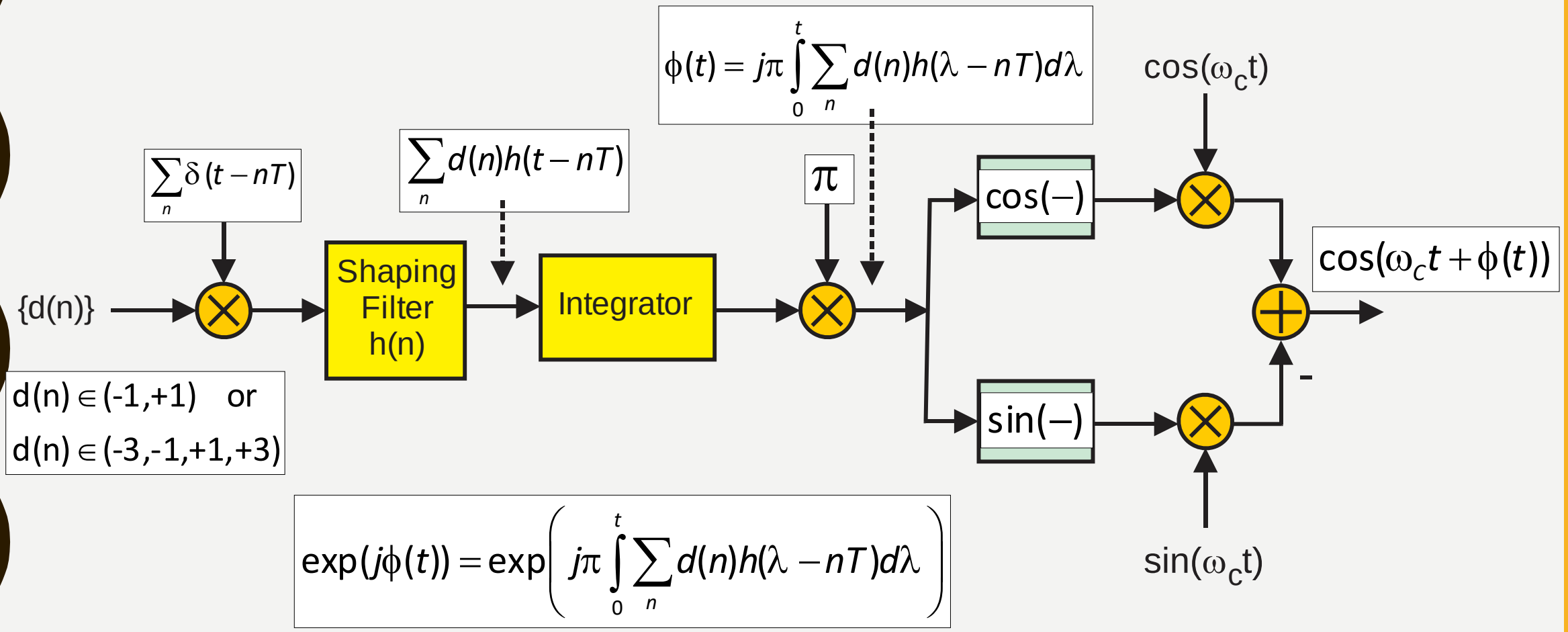


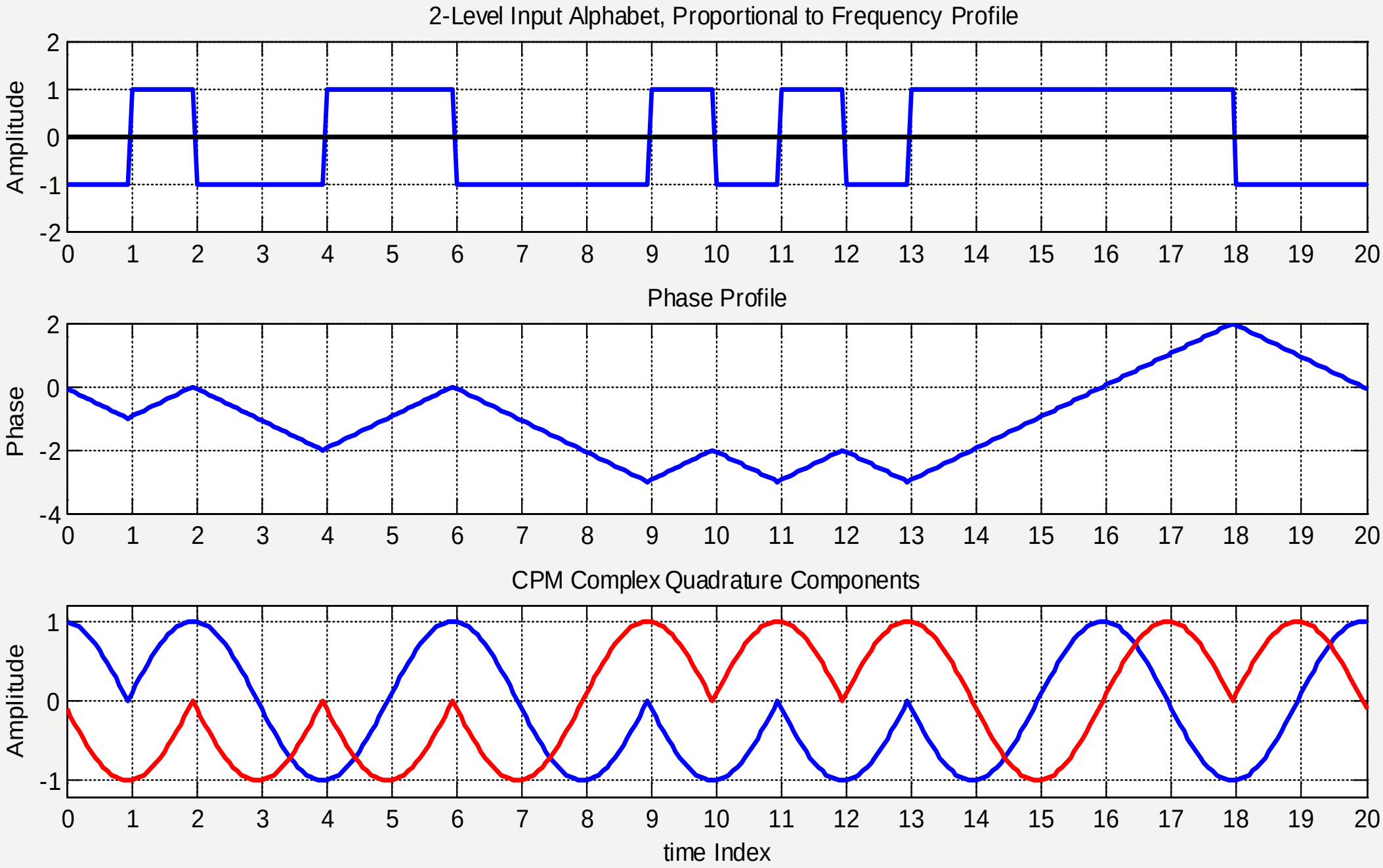




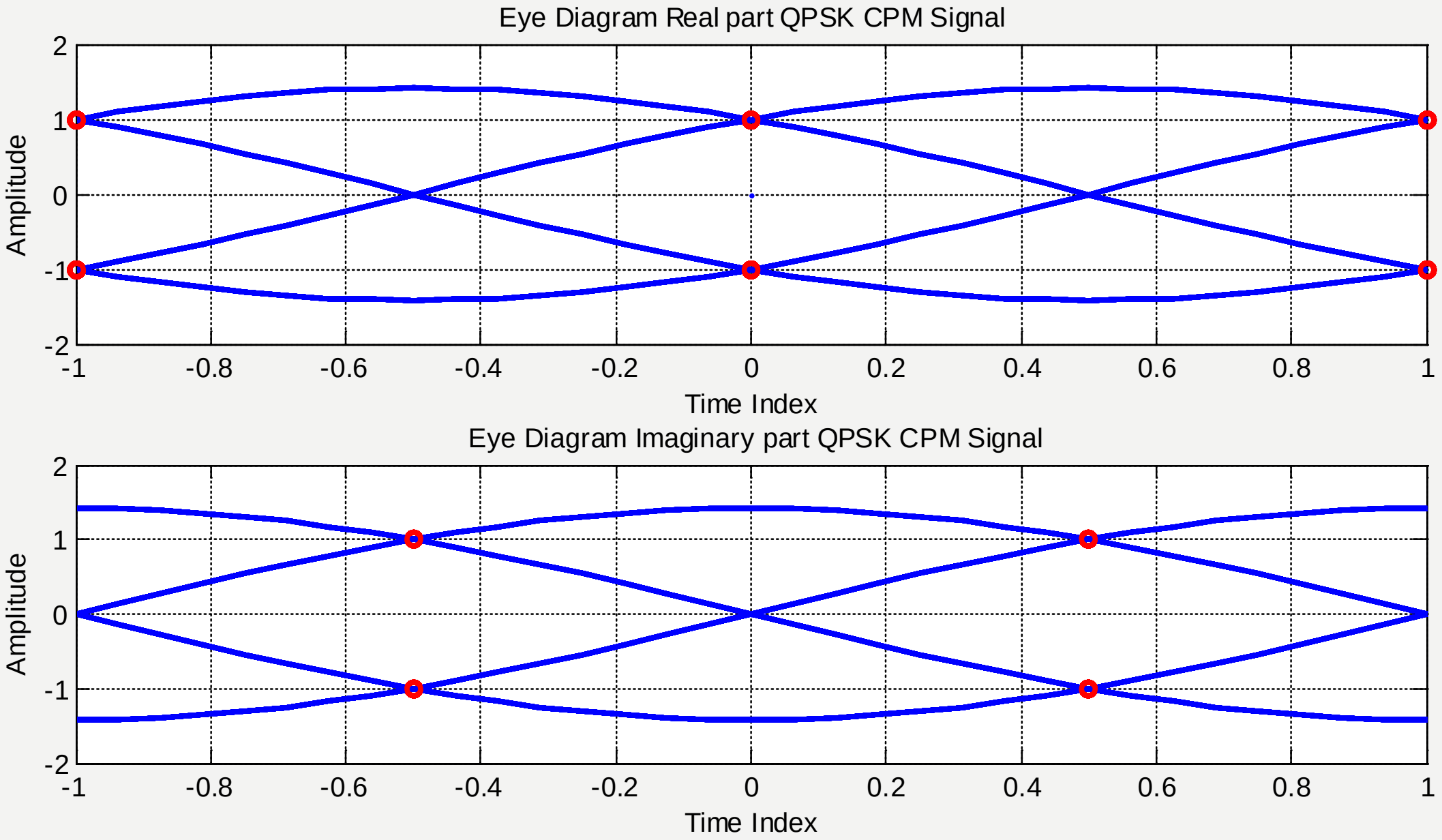

Discontinuous FSK
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Continuous FSK
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Integer Frequency Difference for Orthogonal Continuous FSK
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Time Index


Mininum Integer Frequency for Orthogonal Continuous FSK
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Plus or Minus Half Circle Rotation CPM
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Plus or Minus Quarter Circle Rotation CPM, (MSK)
Amplitude
1
0
-1
0
0.5
1
1.5
2
2.5
3
3.5
4
4.5
5
Time Index

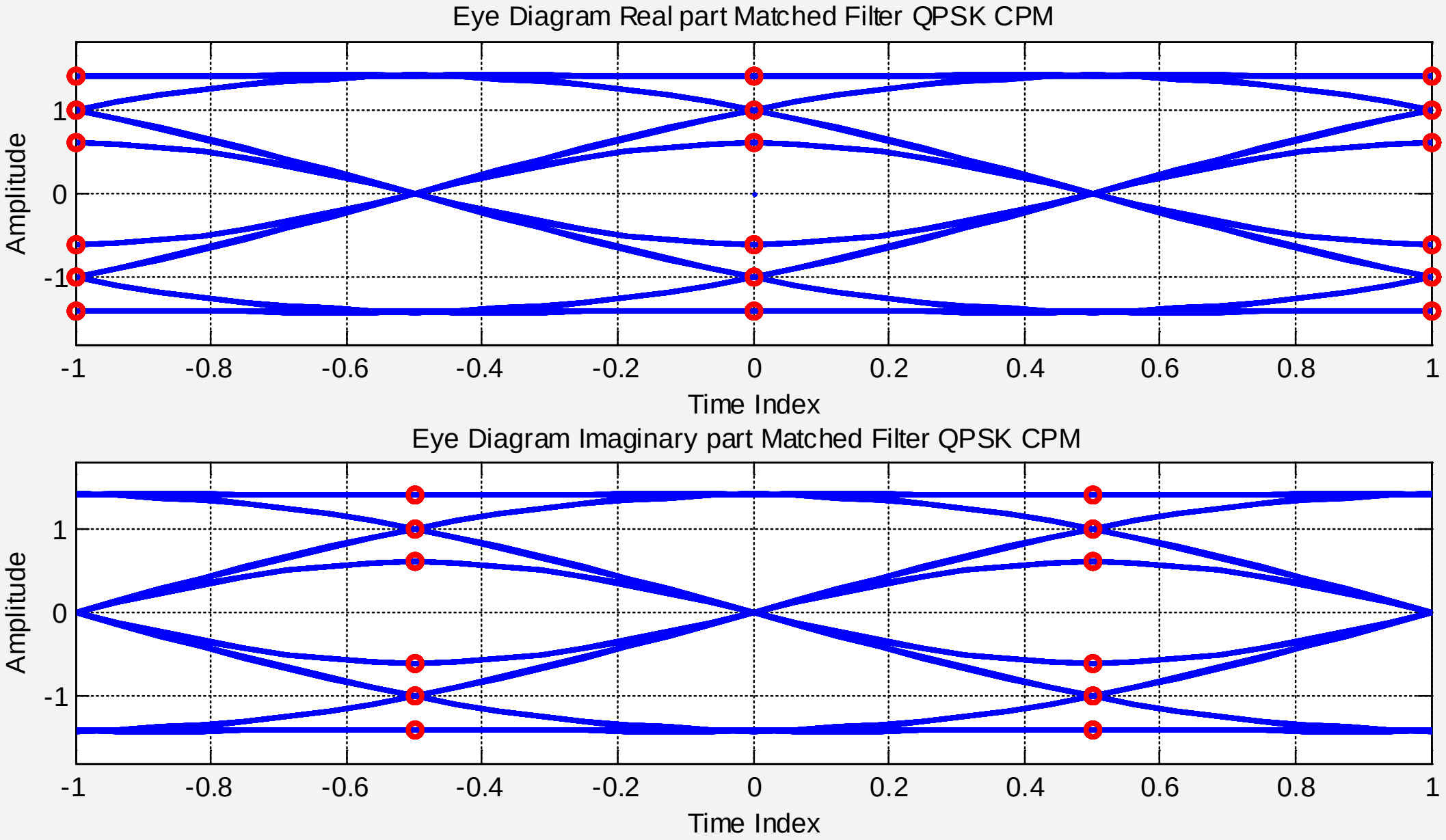

$\phi(t) = j\pi \int_0^t \sum_n d(n)h(\lambda - nT)d\lambda$
$\sum_n \delta(t - nT)$
$\sum_n d(n)h(t - nT)$
π
cos(ωct)
{d(n)}
Shaping Filter h(n)
Integrator
cos(–)
cos(ωct + ϕ(t))
sin(–)
-
d(n) ∈ (-1,+1) or
d(n) ∈ (-3,-1,+1,+3)
sin(ωct)
$\exp(j\phi(t)) = \exp\left(j\pi \int_0^t \sum_n d(n)h(\lambda - nT)d\lambda \right)$


2-Level Input Alphabet, Proportional to Frequency Profile
Amplitude
2
1
0
-1
-2
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20
Phase Profile
Phase
2
0
-2
-4
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20
CPM Complex Quadrature Components
Amplitude
1
0
-1
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20
time Index

Modulator Eye Diagrams CPM

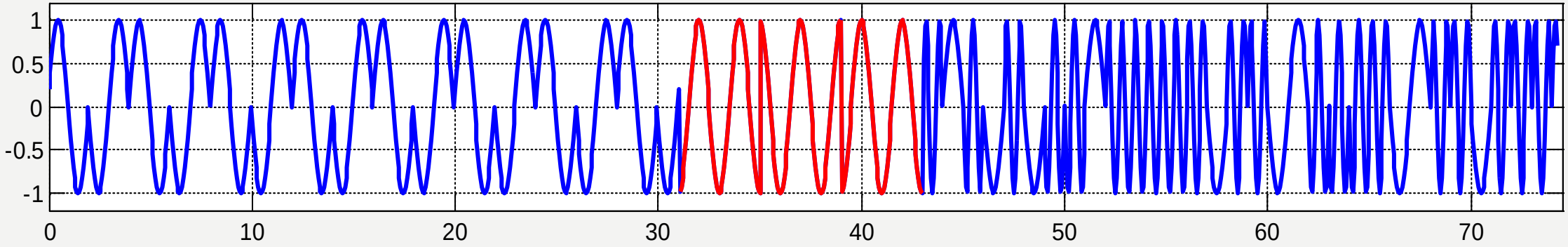


Demodulator Eye Diagrams CPM



Typical (stylized) CPM Signal Structure

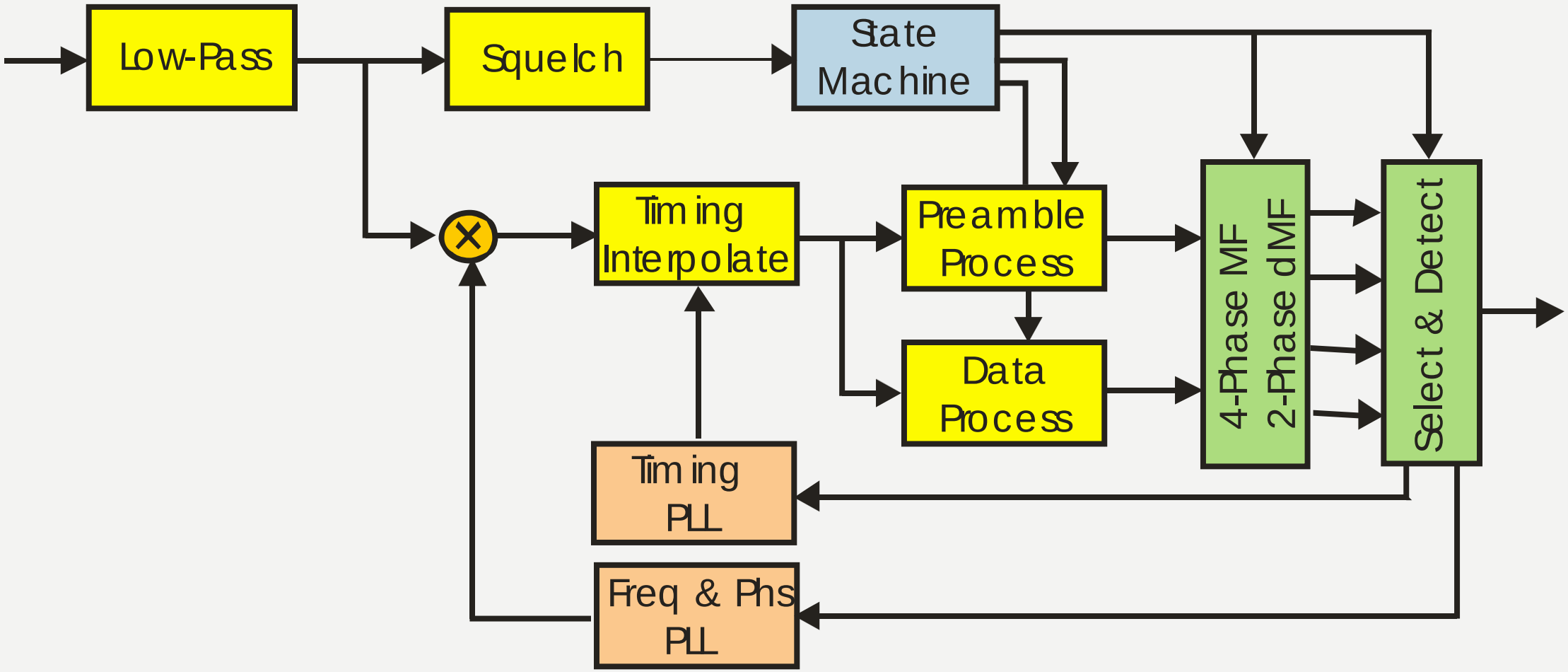
Preamble | Start of Message | Random Data Payload

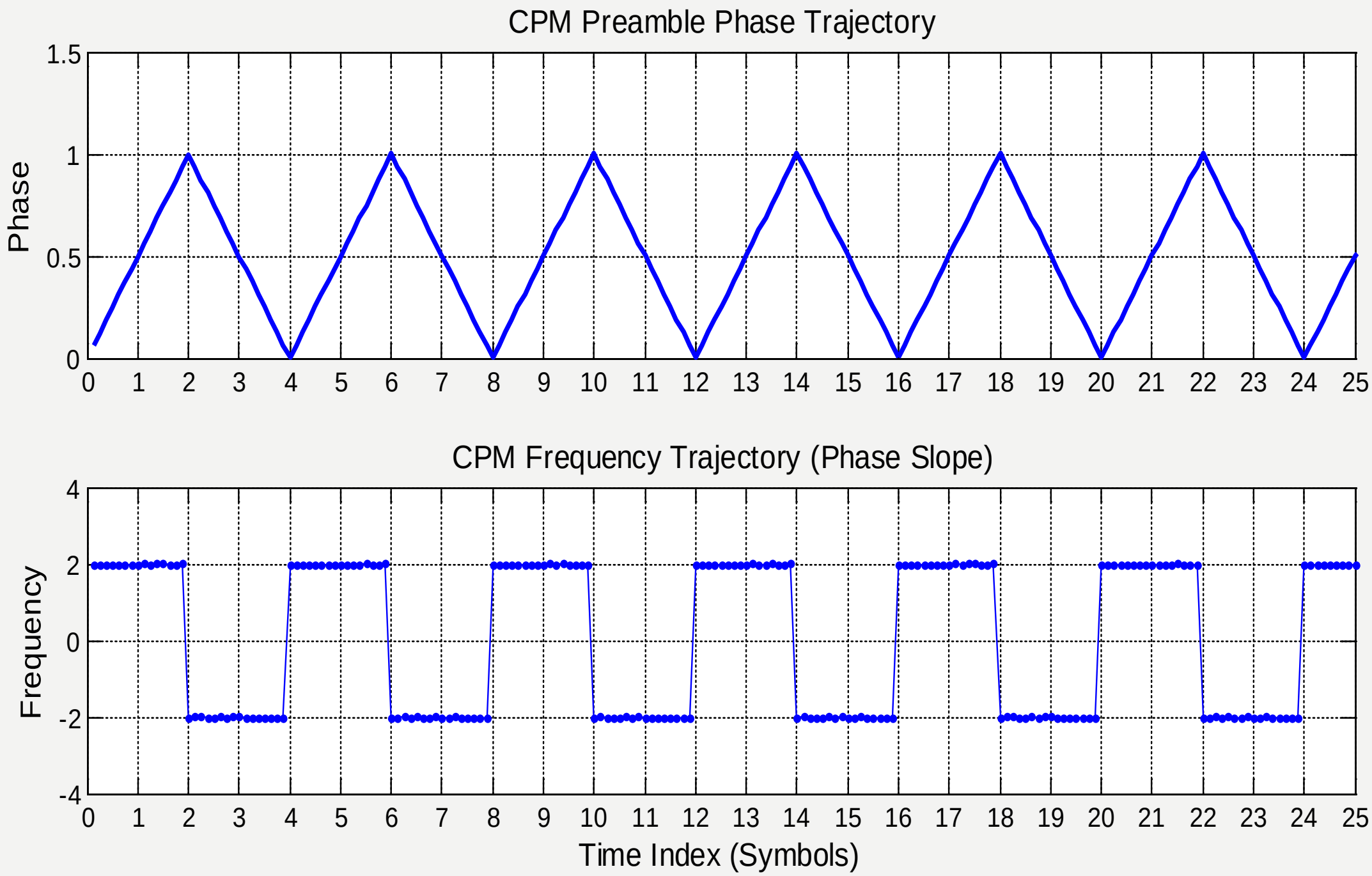


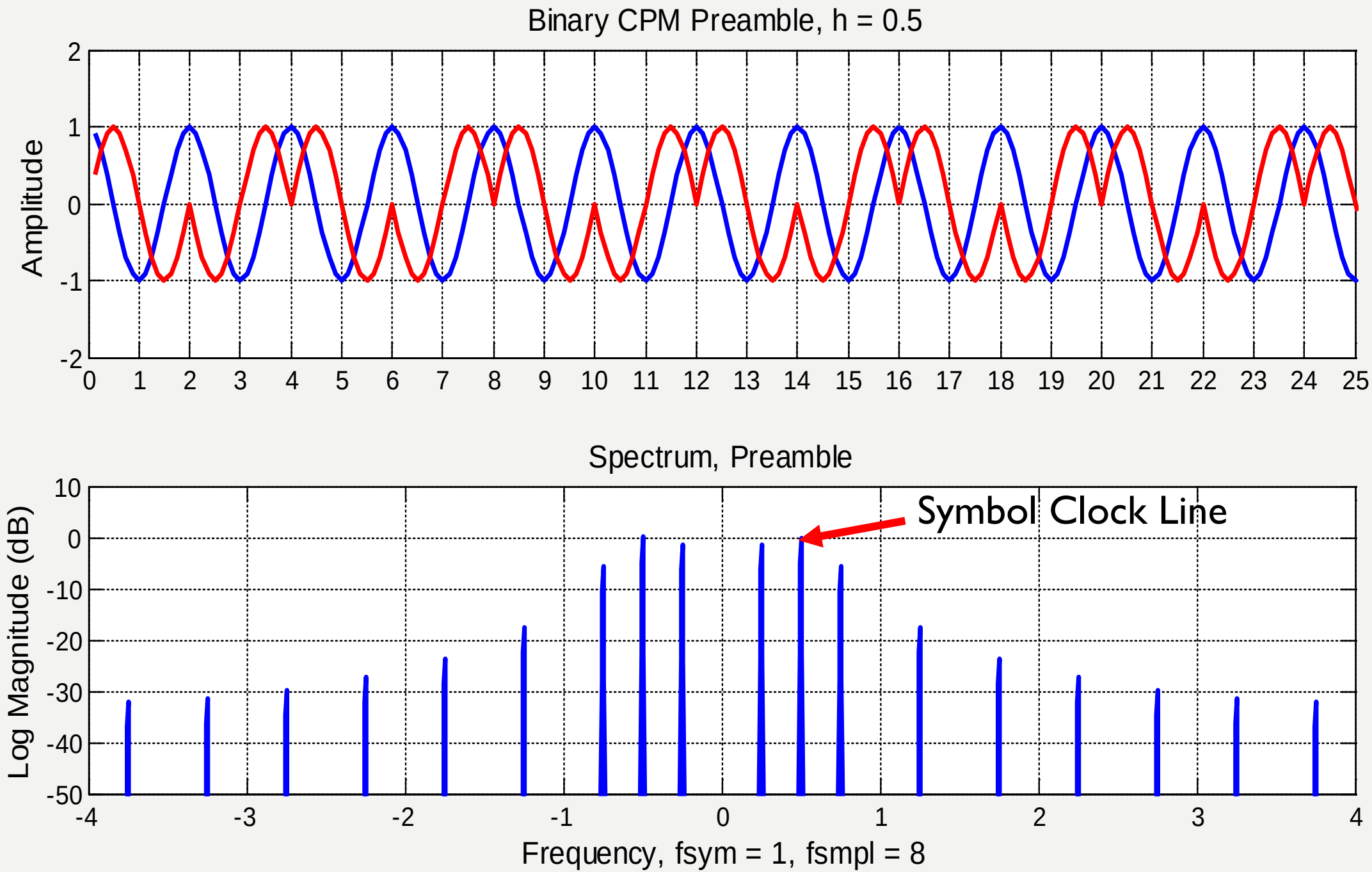
- Perform Squelch Detection
- Detect and Remove Doppler
- Perform AGC
- Matched Filter Preamble
- Perform Timing Synch

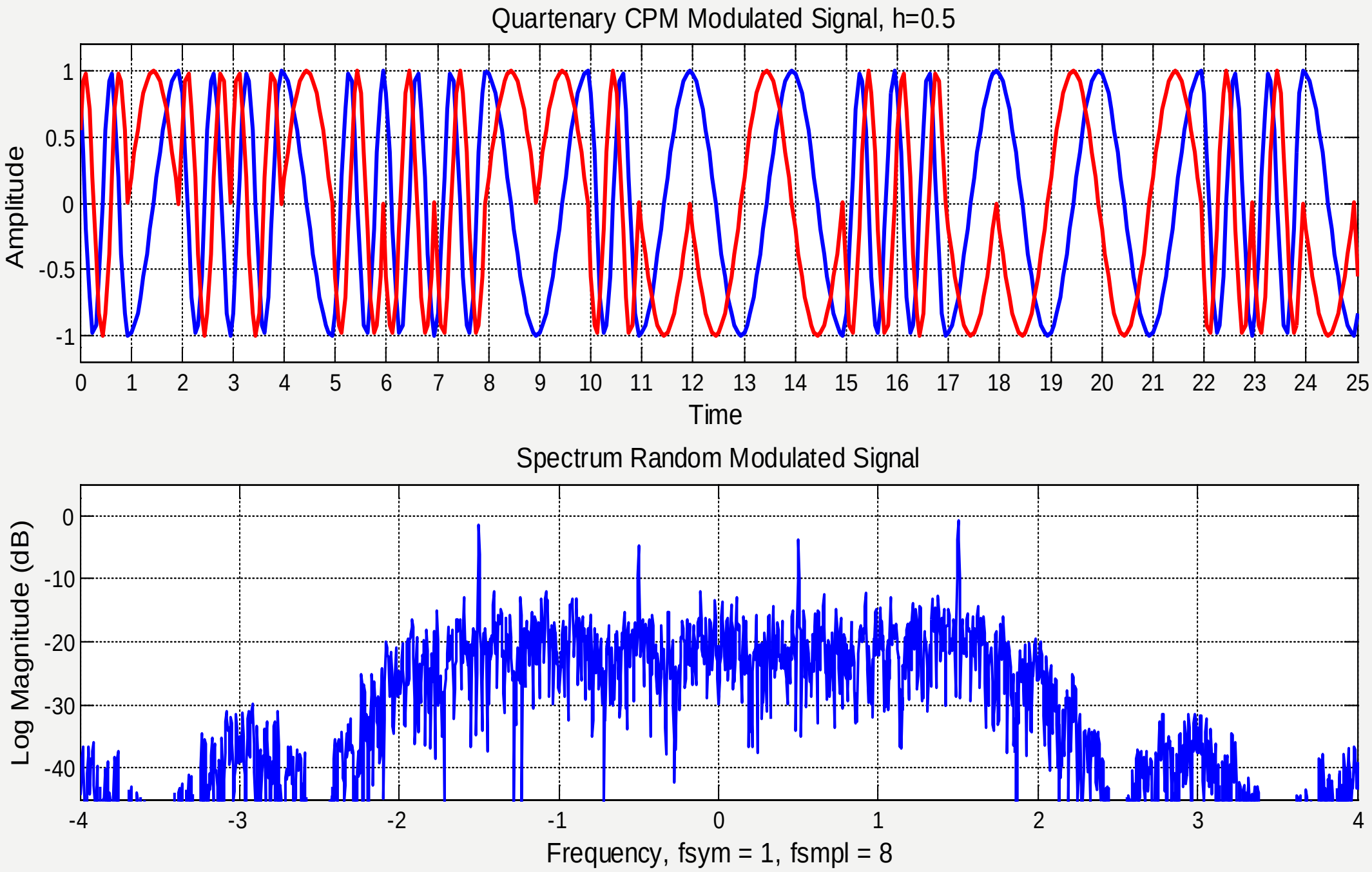
- Detect SOM
- Inform State Machine
- Enable All Matched Filters
- Enable Comparisons of Matched Filter Outputs
- Engage Phase PLL

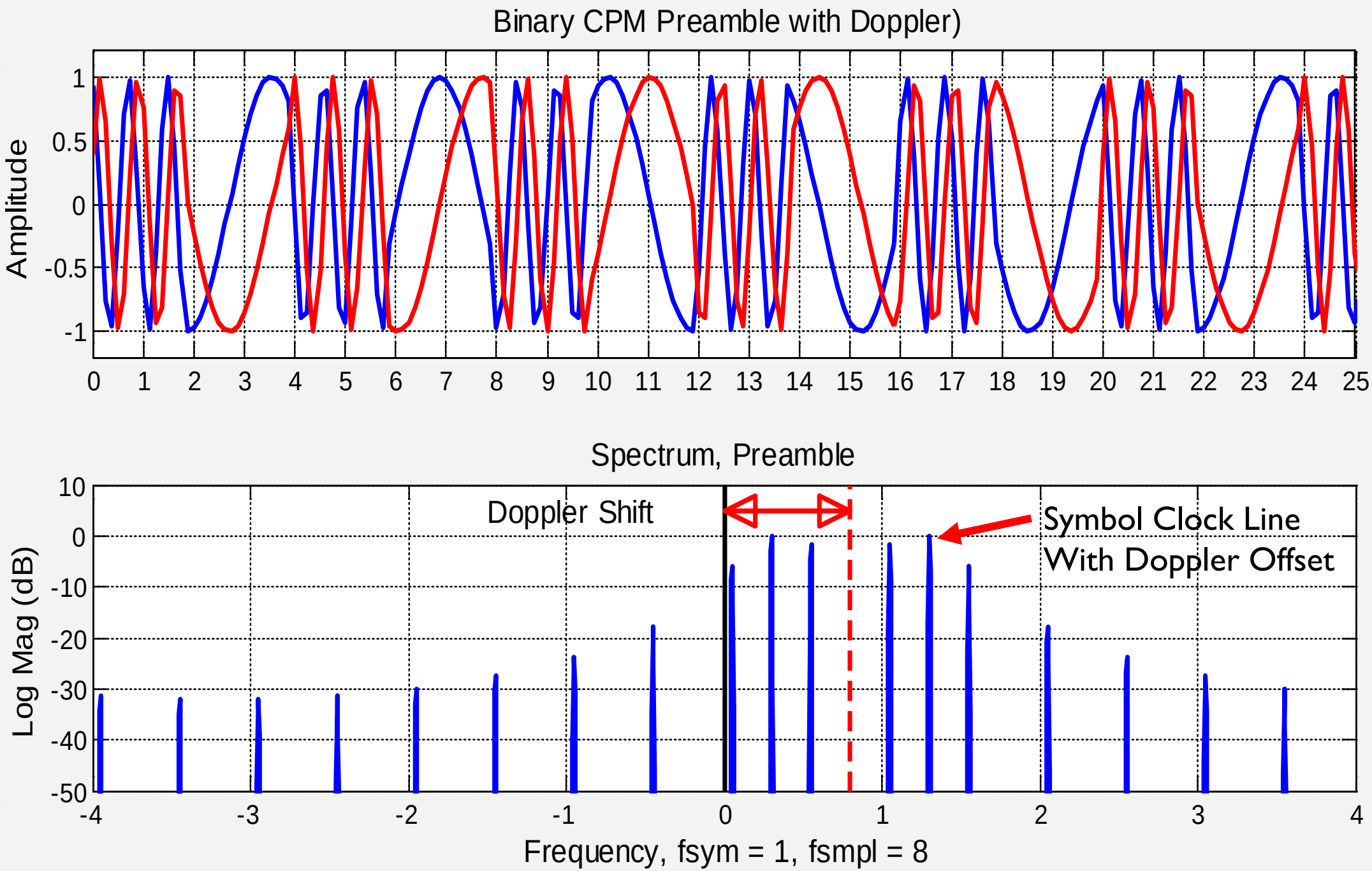
- Operate Timing PLL
- Operate Phase PLL
- Operate AGC Loop
- Operate all Matched Filters
- Compare MF Outputs and Select Largest Output
- Detect Signal of Selected MF


Low-Pass
Squelch
State Machine
Timing Interpolate
Preamble Process
Data Process
4-Phase MF
2-Phase dMF
Select & Detect
Timing PLL
Freq & Phs PLL


CPM Preamble Phase Trajectory
Phase
1.5
1
0.5
0
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
CPM Frequency Trajectory (Phase Slope)
Frequency
4
2
0
-2
-4
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Time Index (Symbols)

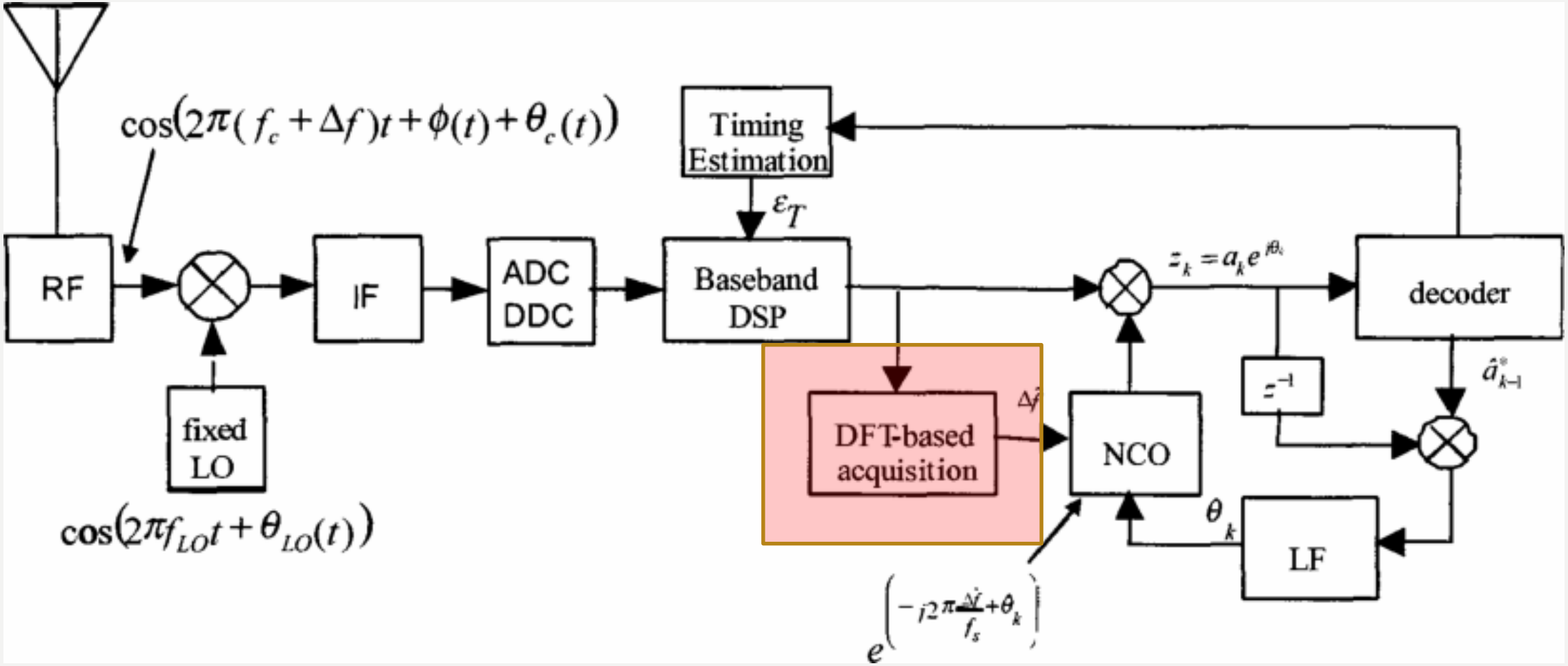

Binary CPM Preamble, h = 0.5
Amplitude
2
1
0
-1
-2
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Spectrum, Preamble
Symbol Clock Line
Log Magnitude (dB)
10
0
-10
-20
-30
-40
-50
-4 -3 -2 -1 0 1 2 3 4
Frequency, fsym = 1, fsmpl = 8


Quartenary CPM Modulated Signal, h=0.5
Amplitude
Time
Spectrum Random Modulated Signal
Log Magnitude (dB)
Frequency, fsym = 1, fsmpl = 8

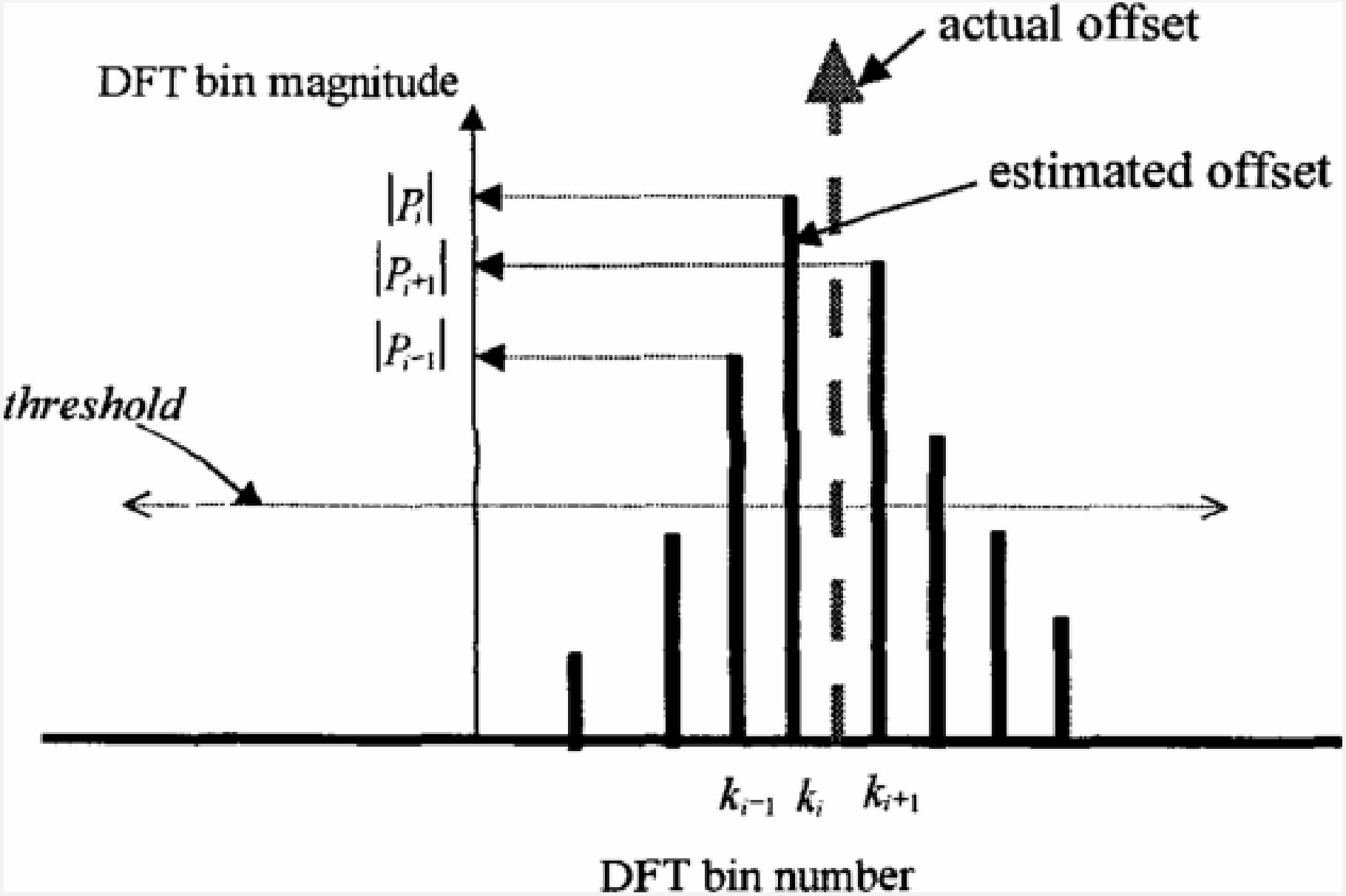

Binary CPM Preamble with Doppler)
Amplitude
1
0.5
0
-0.5
-1
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Spectrum, Preamble
Log Mag (dB)
10
0
-10
-20
-30
-40
-50
Doppler Shift
Symbol Clock Line
With Doppler Offset
-4 -3 -2 -1 0 1 2 3 4
Frequency, fsym = 1, fsmpl = 8

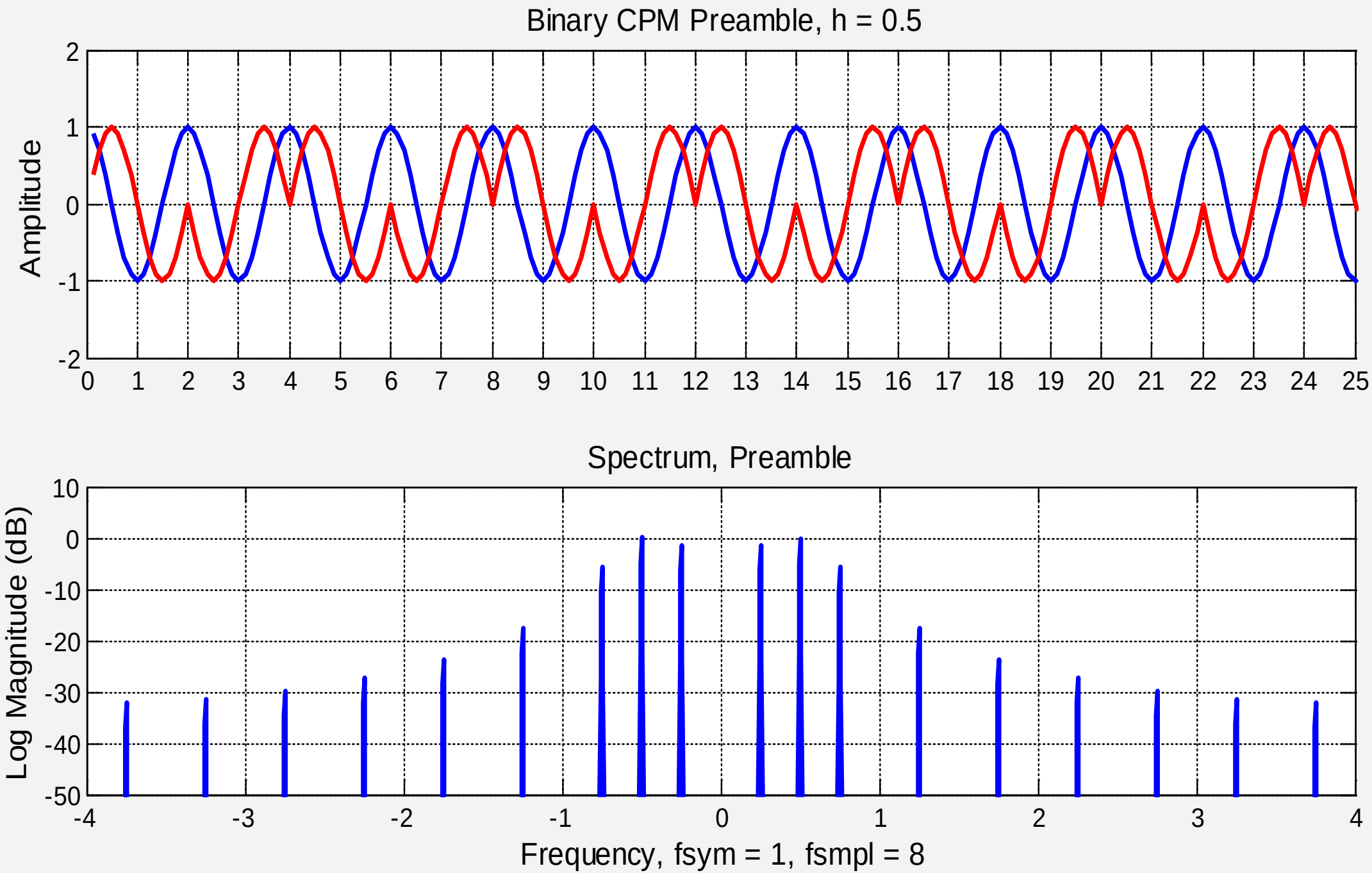
Techniques for Acquiring and Tracking MIL-STD-181B Signals

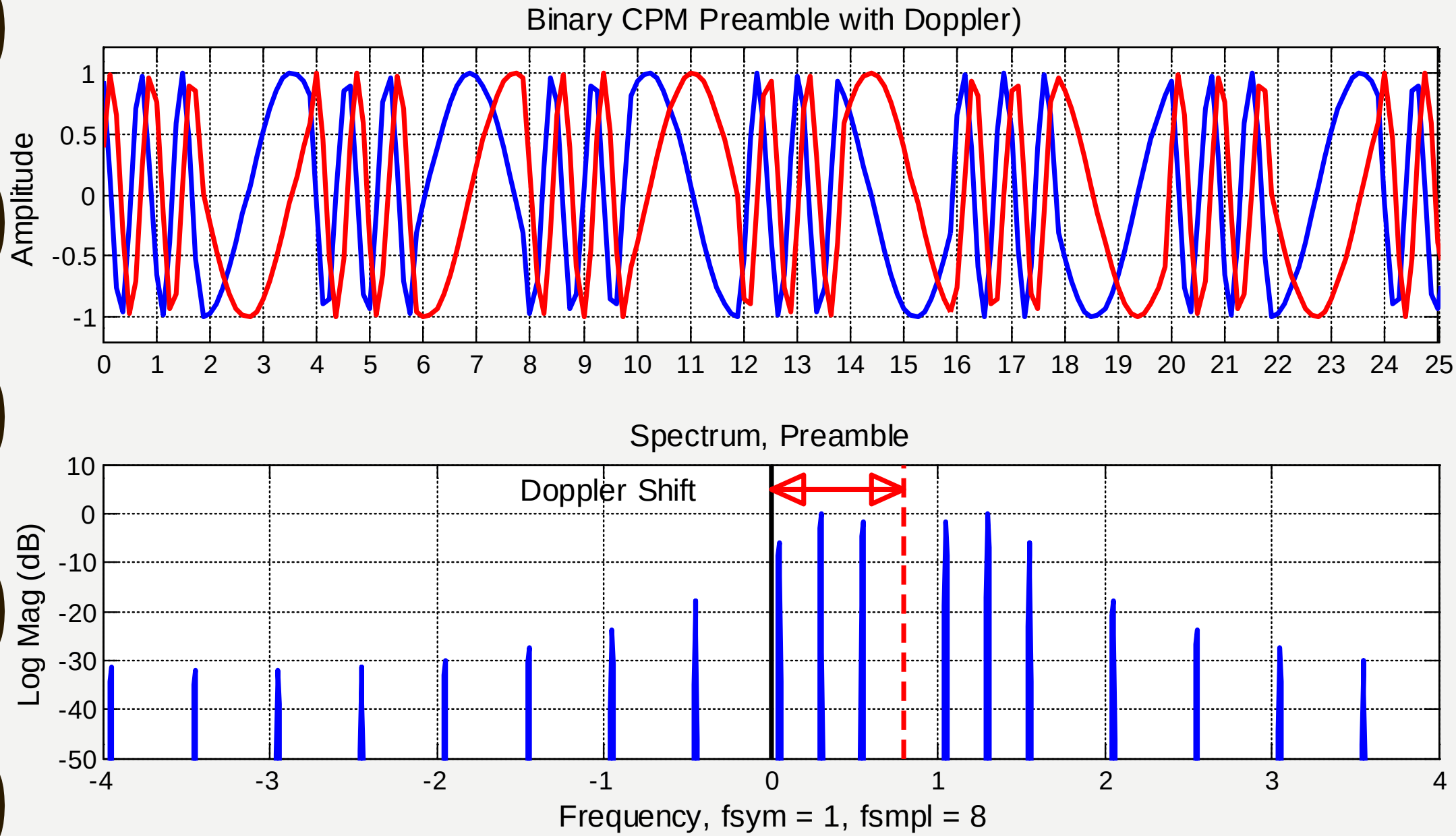
Milcom 7-10 October 2002, Anaheim CA., Mohammed K. Nezami, PhD, Raytheon, Saint Petersburg, FL.



Estimate Spectral Center of Mass, and Direct DDS to shift Spectrum by the estimated Offset.




Binary CPM Preamble, h = 0.5
Amplitude
2
1
0
-1
-2
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Spectrum, Preamble
Log Magnitude (dB)
10
0
-10
-20
-30
-40
-50
-4 -3 -2 -1 0 1 2 3 4
Frequency, fsym = 1, fsmpl = 8


Binary CPM Preamble with Doppler)
Amplitude
1
0.5
0
-0.5
-1
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Spectrum, Preamble
Doppler Shift
Log Mag (dB)
10
0
-10
-20
-30
-40
-50
-4 -3 -2 -1 0 1 2 3 4
Frequency, fsym = 1, fsmpl = 8

$$s(\mathrm{n}) = \mathrm{e}^{j\theta_{prmbl}n}\,\mathrm{e}^{j\theta_{dplr}n}:$$

$$\mathrm{n} = 0 : \mathrm{N}-1$$

$$s(\mathrm{n}+N) = \mathrm{e}^{-j\theta_{prmbl}n}\,\mathrm{e}^{j\theta_{dplr}n}:$$

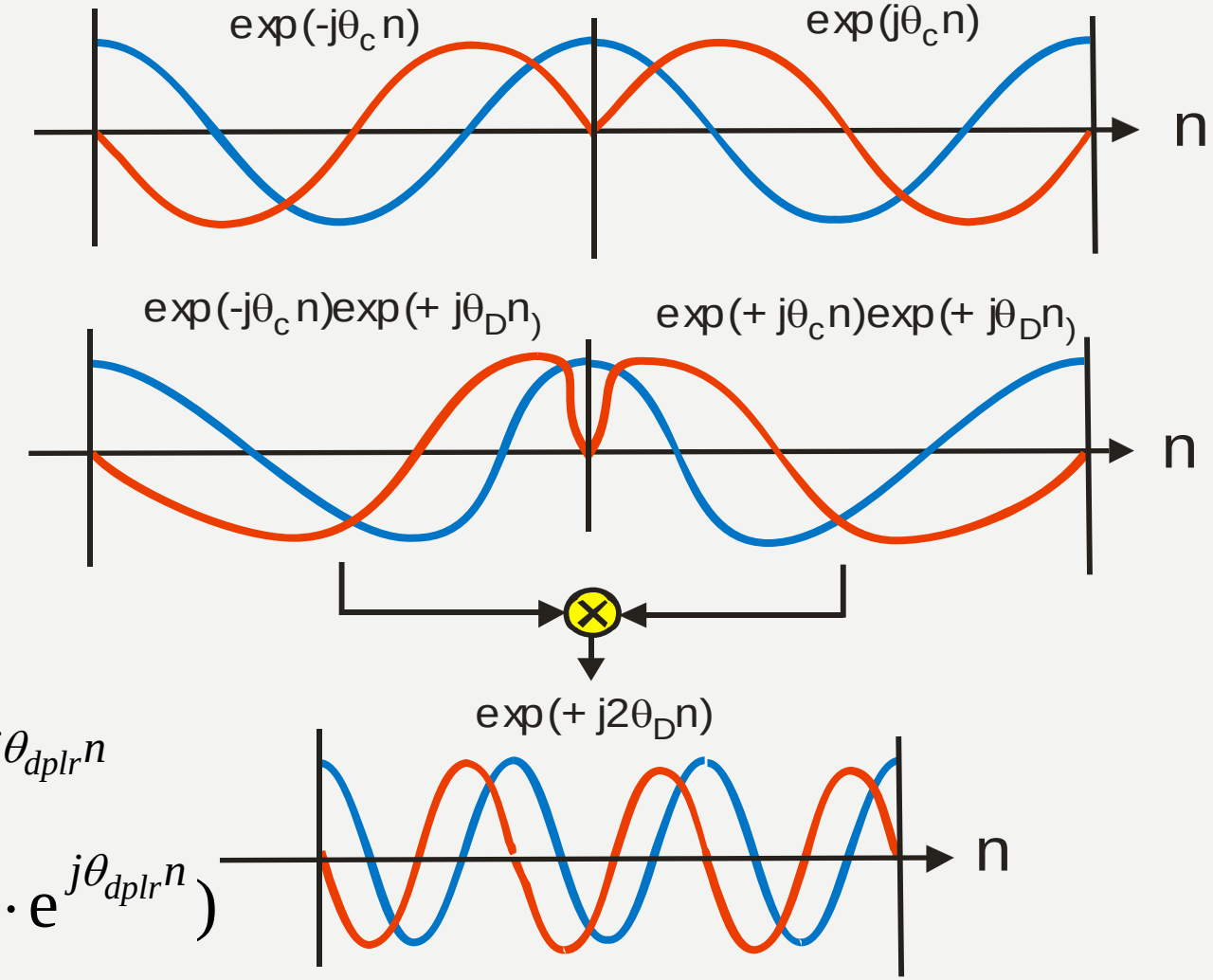
$$\mathrm{n} = 0 : N-1$$

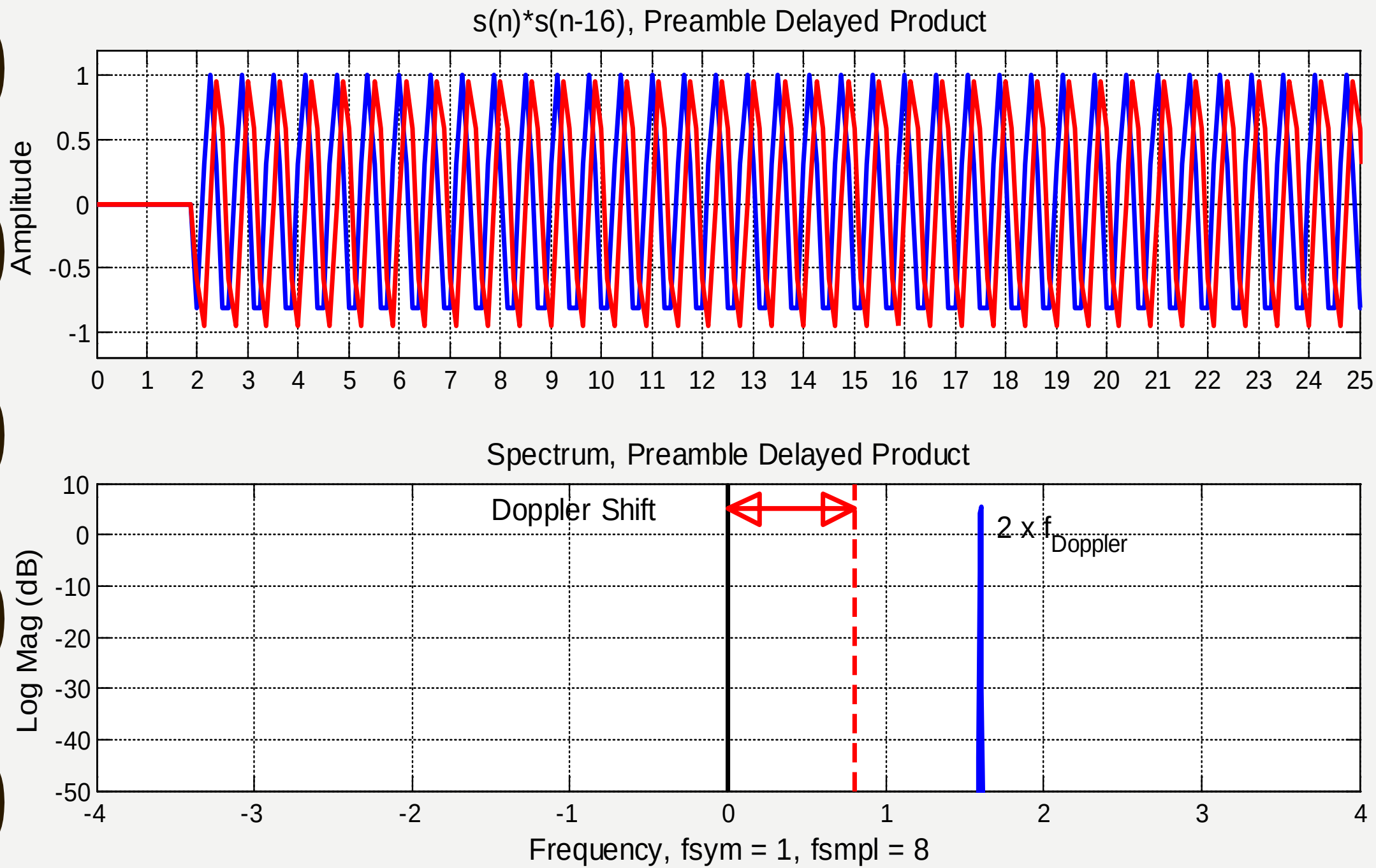
$$p(n) = s(n)\cdot s(n+N)$$

$$= \mathrm{e}^{+j\theta_{prmbl}n}\,\mathrm{e}^{j\theta_{dplr}n}\cdot\mathrm{e}^{-j\theta_{prmbl}n}\,\mathrm{e}^{j\theta_{dplr}n}$$

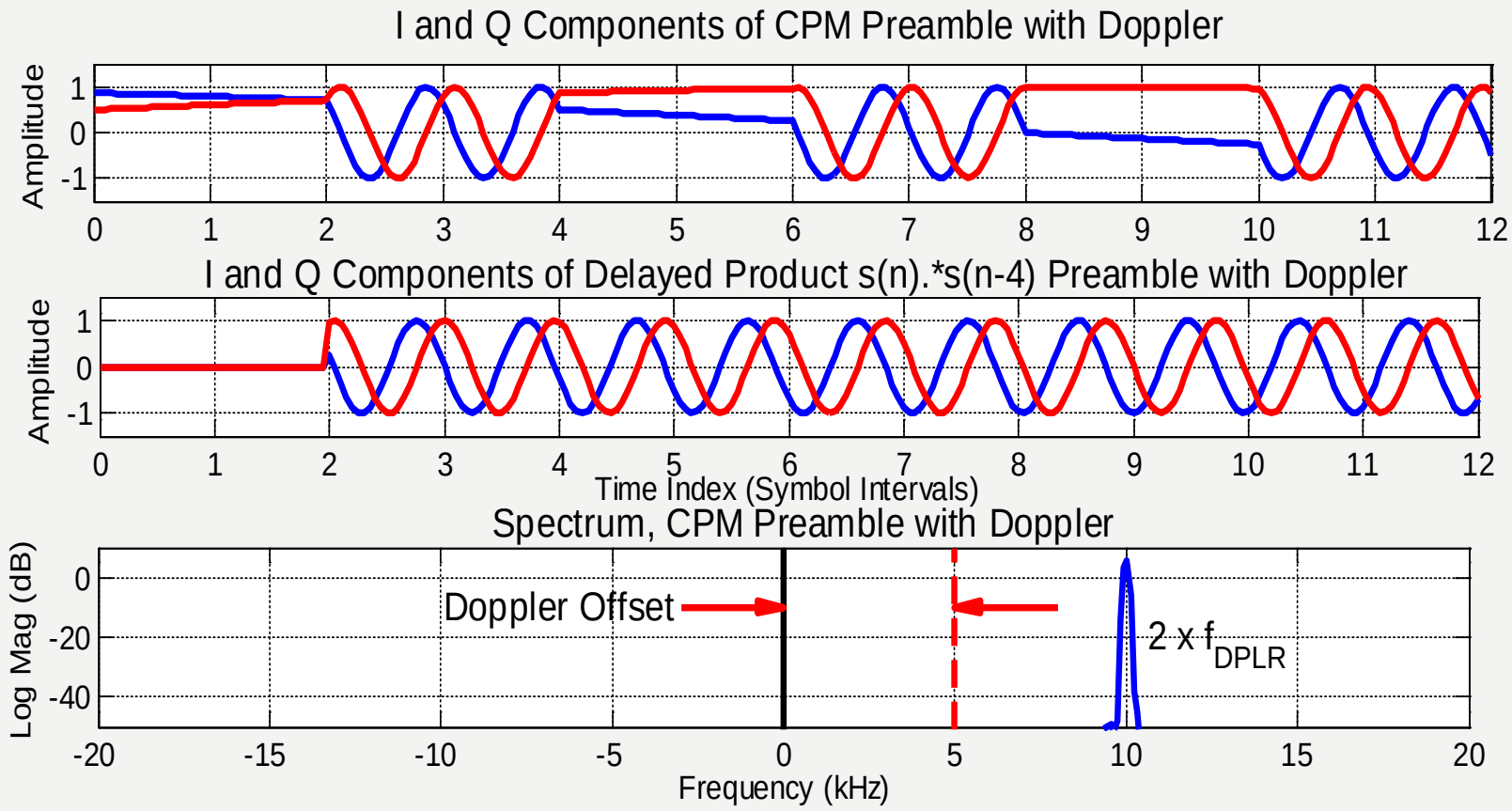
$$= (\mathrm{e}^{+j\theta_{prmbl}n}\cdot\mathrm{e}^{-j\theta_{prmbl}n})(\mathrm{e}^{j\theta_{dplr}n}\cdot\mathrm{e}^{j\theta_{dplr}n})$$

$$= \mathrm{e}^{\mathrm{j}2\theta_{dplr}n}$$




s(n)*s(n-16), Preamble Delayed Product
Amplitude
1
0.5
0
-0.5
-1
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25
Spectrum, Preamble Delayed Product
Log Mag (dB)
10
0
-10
-20
-30
-40
-50
Doppler Shift
2 x f Doppler
-4 -3 -2 -1 0 1 2 3 4
Frequency, fsym = 1, fsmpl = 8

Top Subplot. Doppler Distorted Tones in Successive Preamble Intervals Center Subplot, Product of Successive Conjugate Symbol Intervals Forms Complex Tone at Twice Doppler Frequency. Third Subplot Spectrum of Doppler Tone Formed.



four *Modulation Complex Envelopes, N−Samples per Symbol*

$h1a=\exp(+j\frac{\pi}{2N}(0:N-1))$: *Positive Rotation, Quarter Cycle*

$h1b=\exp(-j\frac{\pi}{2N}(0:N-1))$: *Negative Rotation, Quarter cycle*

$h2a=\exp(+j\frac{\pi}{N}(0:N-1))$: *Positive Rotation, Half Cycle*

$h2b=\exp(-j\frac{\pi}{N}(0:N-1))$: *Negative Rotation, Half cycle*

four Matched Filter Complex Envelopes, N−Samples per Symbol

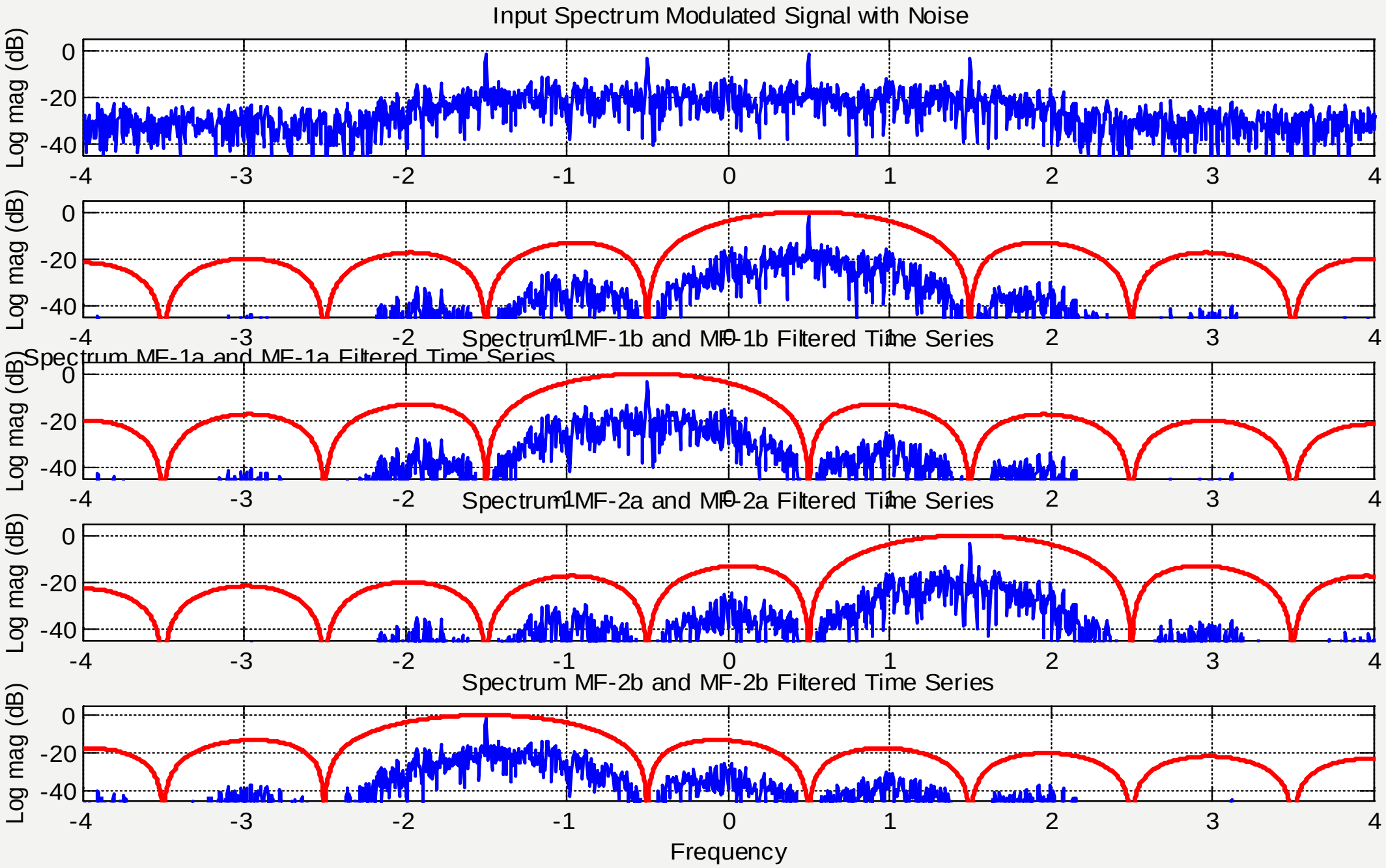
Time Reversed and Complex Conjugate

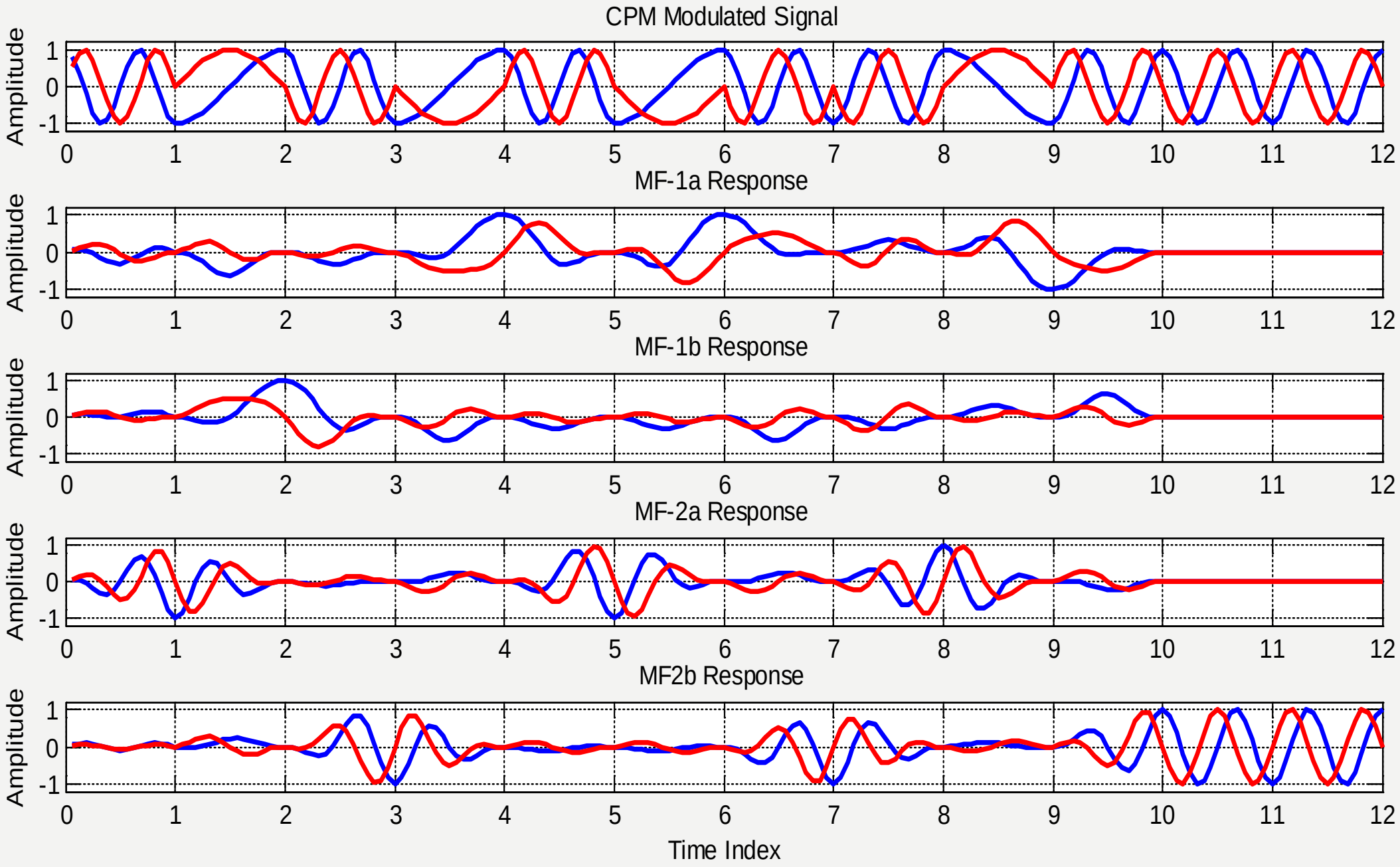
$MF1a=\exp(-j\frac{\pi}{2N}(N-1:-1:0))$: *Positive Rotation, Quarter Cycle*

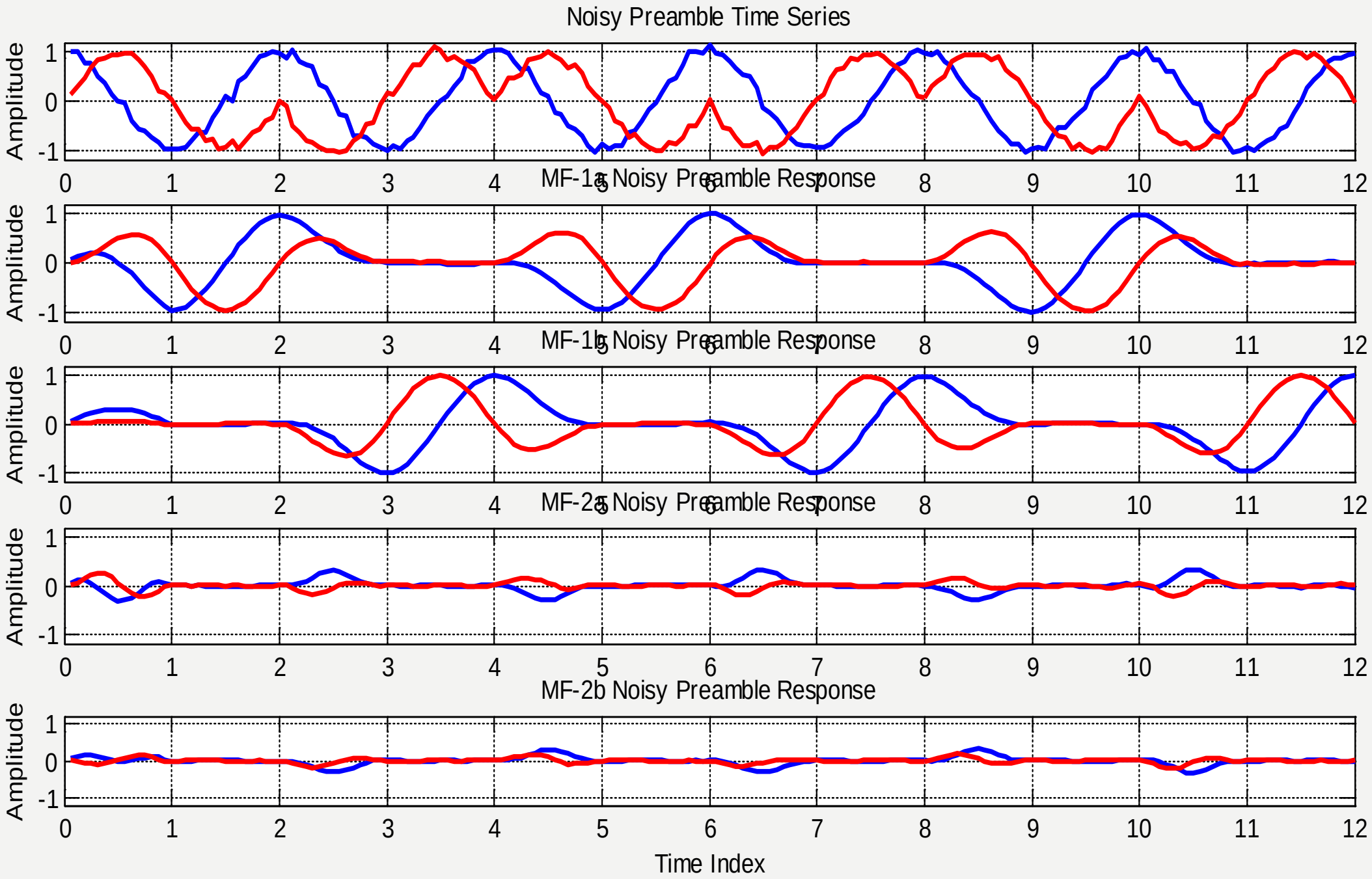
$MF1b=\exp(+j\frac{\pi}{2N}(N-1:-1:0))$: *Negative Rotation, Quarter cycle*

$MF2a=\exp(-j\frac{\pi}{N}(N-1:-1:0))$: *Positive Rotation, Half Cycle*

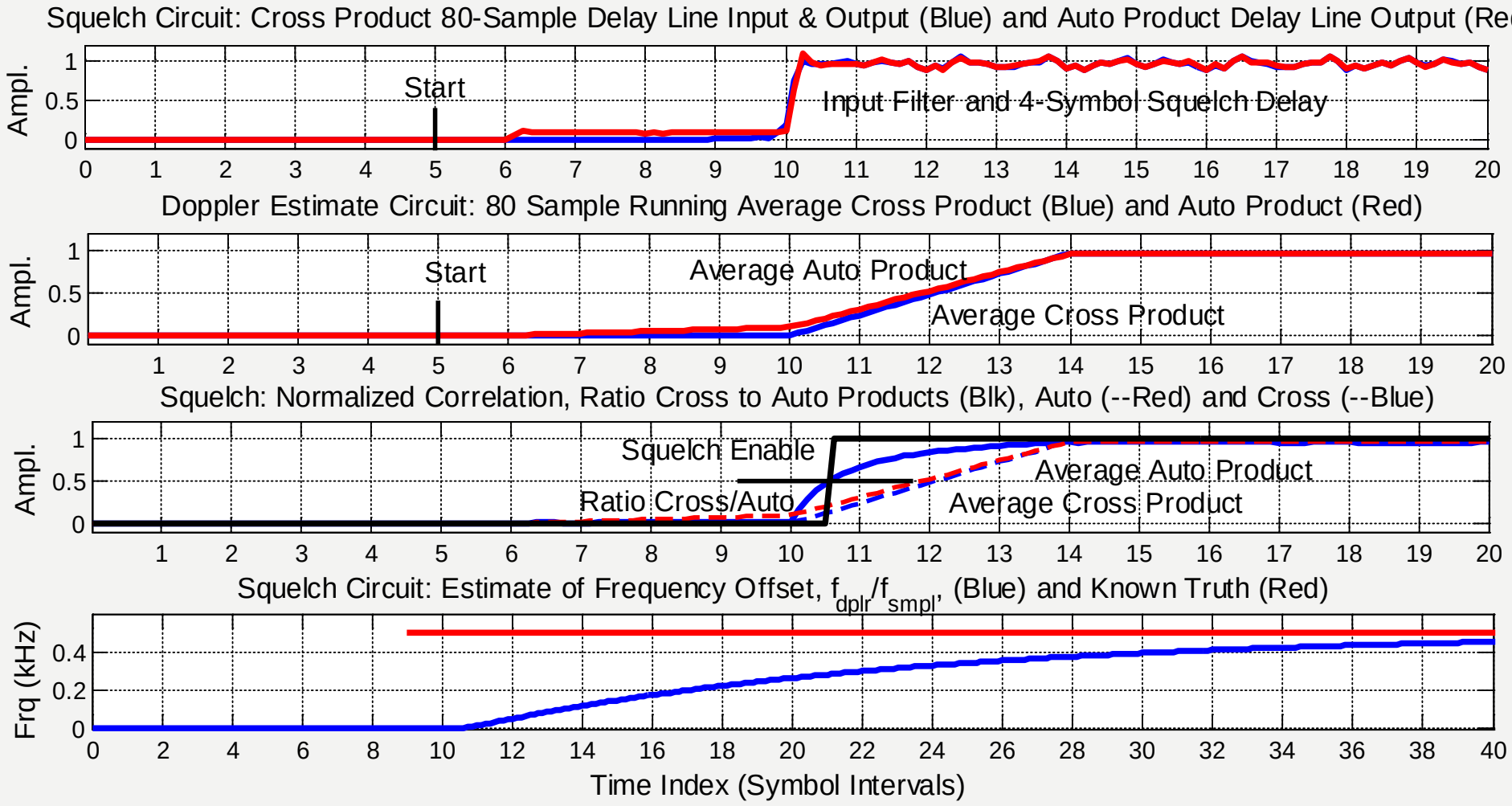
$MF2b=\exp(+j\frac{\pi}{N}(N-1:-1:0))$: *Negative Rotation, Half cycle*


Input Spectrum Modulated Signal with Noise
Spectrum MF-1b and MF-1b Filtered Time Series
Spectrum MF-1a and MF-1a Filtered Time Series
Spectrum MF-2a and MF-2a Filtered Time Series
Spectrum MF-2b and MF-2b Filtered Time Series
Log mag (dB)
0
-20
-40
-4
-3
-2
-1
0
1
2
3
4
Frequency

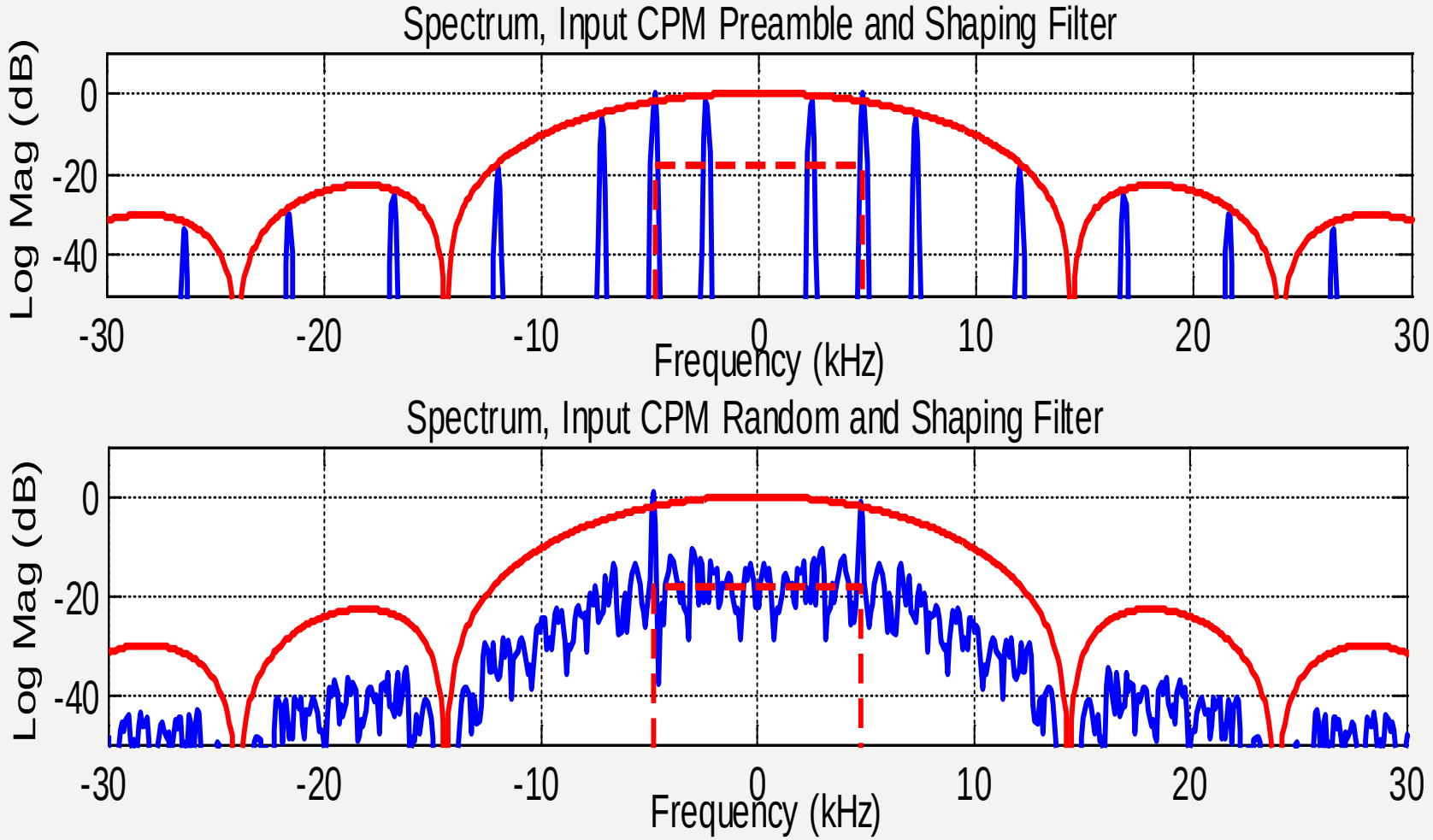

CPM Modulated Signal
Amplitude
MF-1a Response
MF-1b Response
MF-2a Response
MF2b Response
Time Index
1
0
-1
0 1 2 3 4 5 6 7 8 9 10 11 12


Noisy Preamble Time Series
MF-1a Noisy Preamble Response
MF-1b Noisy Preamble Response
MF-2a Noisy Preamble Response
MF-2b Noisy Preamble Response
Amplitude
Time Index

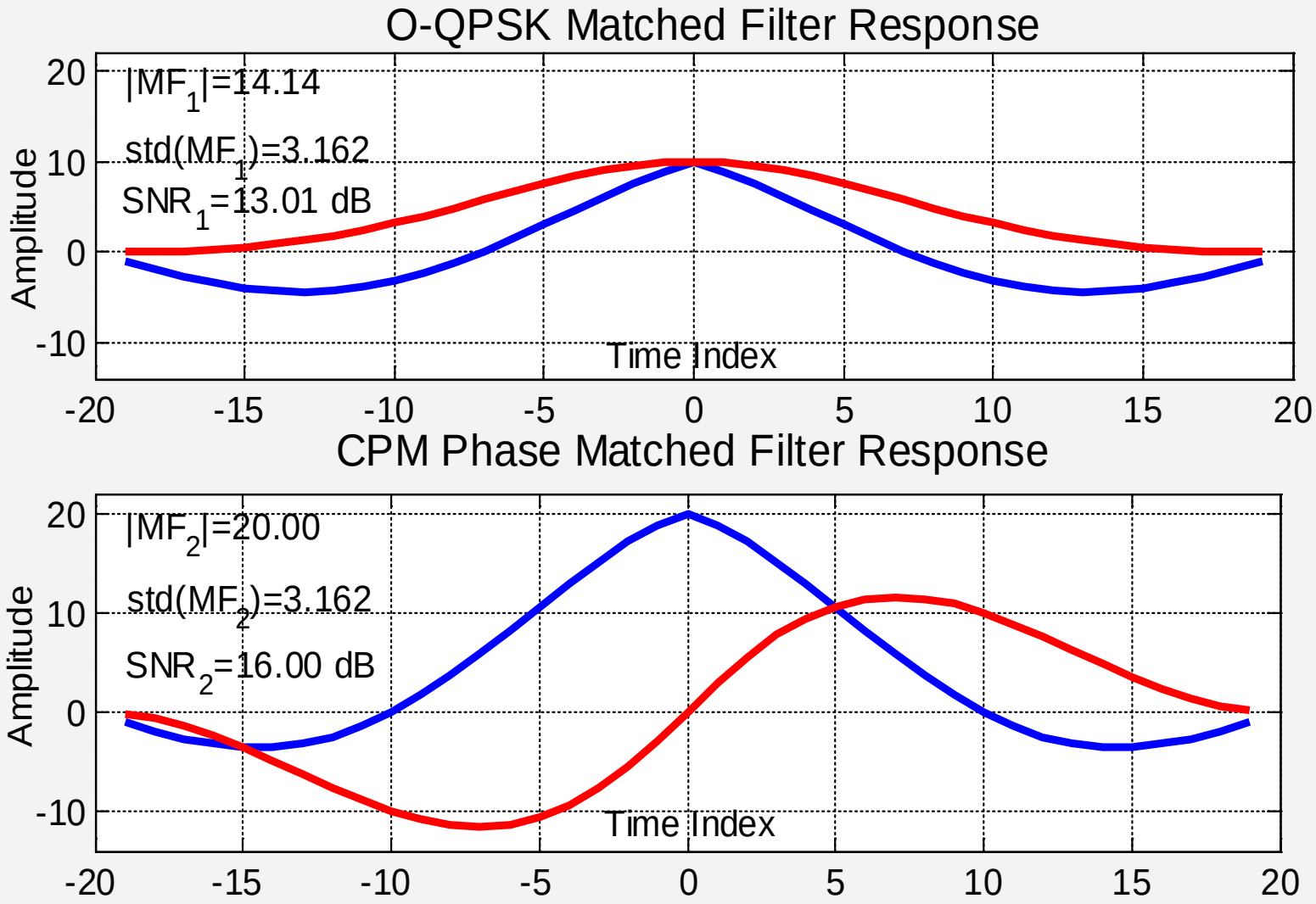
Squelch Network, Auto and 4-Symbol Delayed Conjugate Cross Product and Auto and Cross Correlation Normalized Correlation and Threshold Crossing, 2-Symbol Delayed Product, and 0.3 kHz Doppler Frequency Estimate.



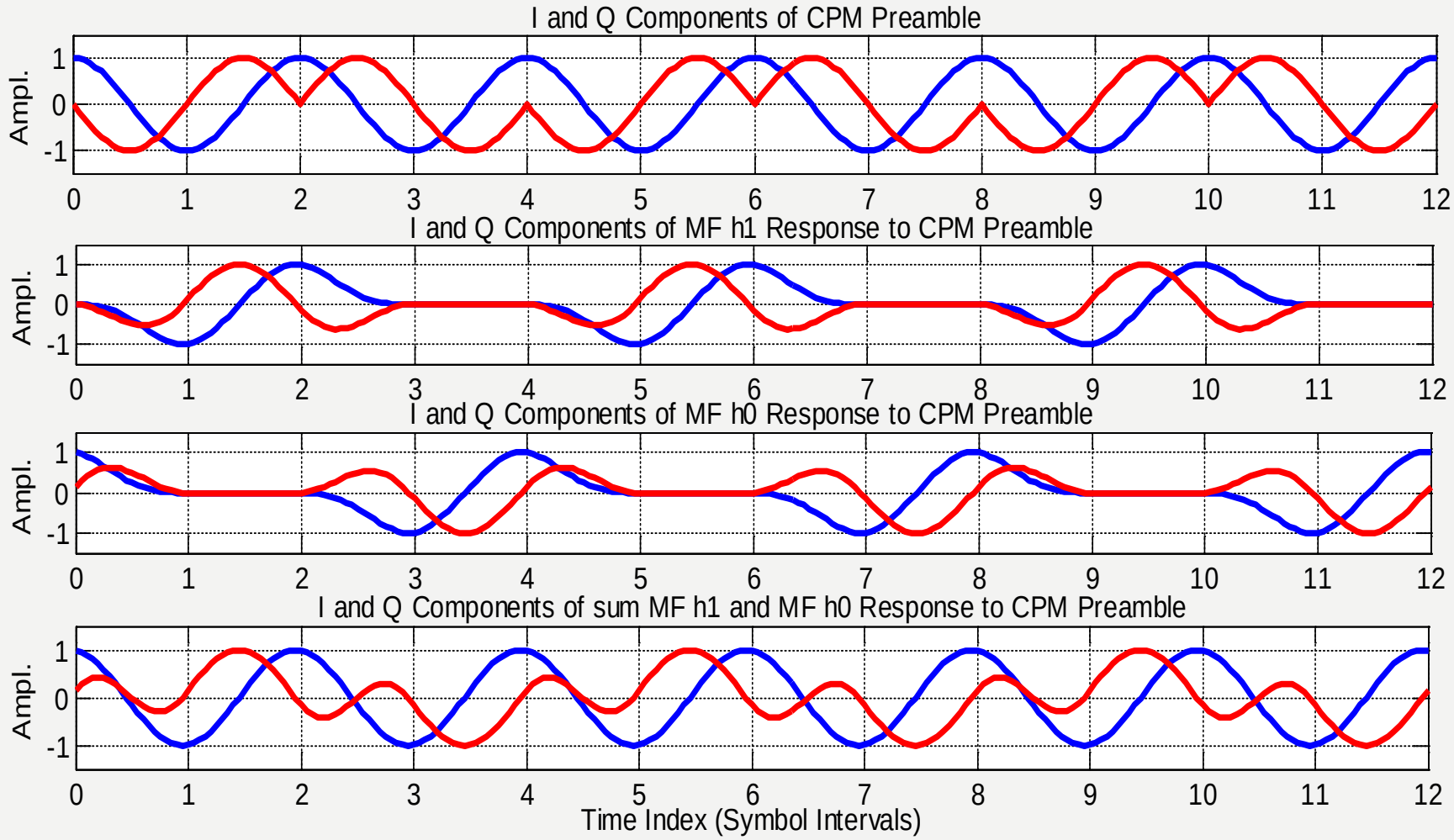
Upper Subplot: Spectra of Periodic Preamble and of Half Sinewave Shaping Filter
Lower Subplot: Spectra of Random CPM Data and of Half Sinewave Shaping Filter.



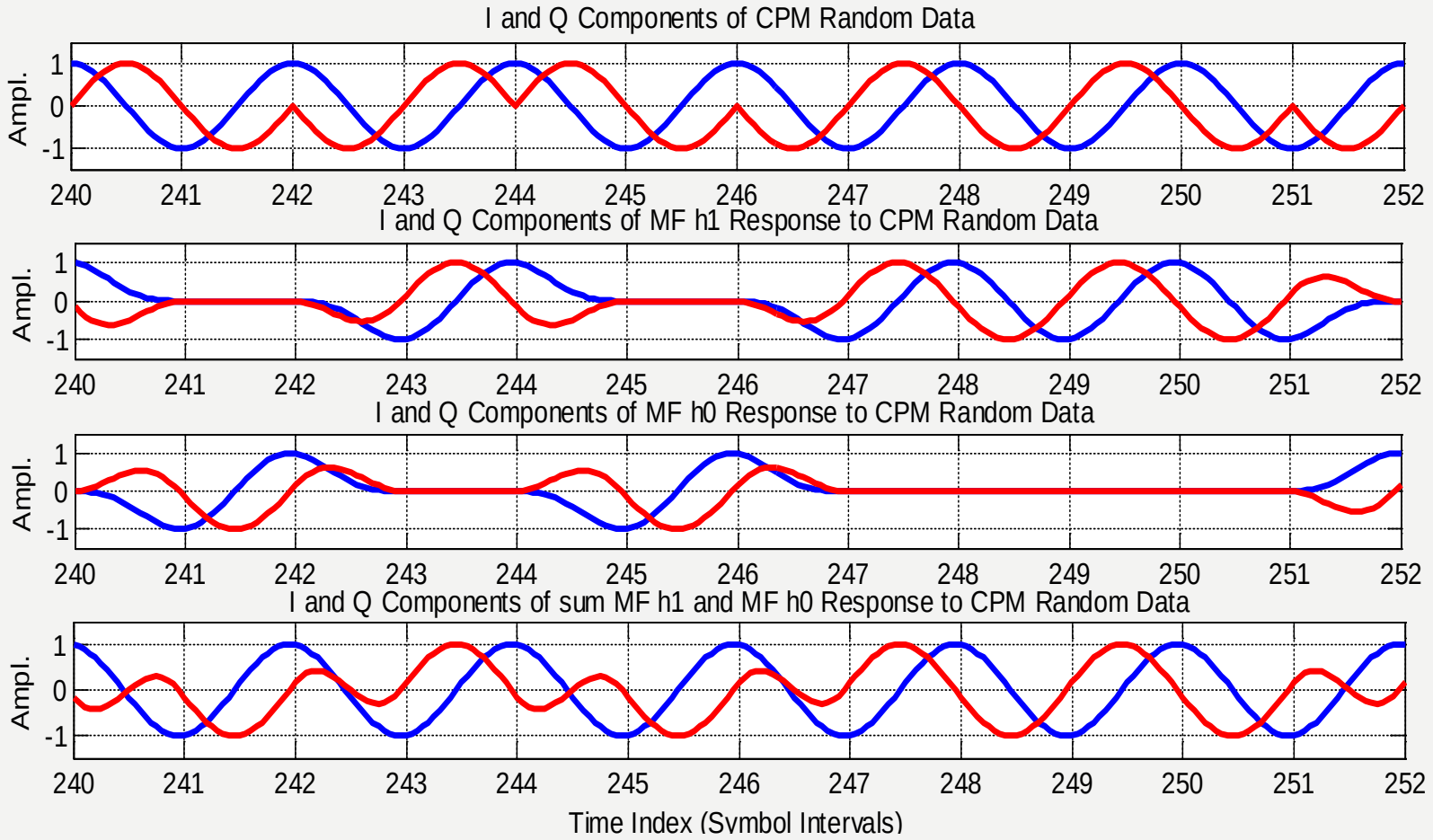
Matched Filter Response, OQPSK and CPM Process.

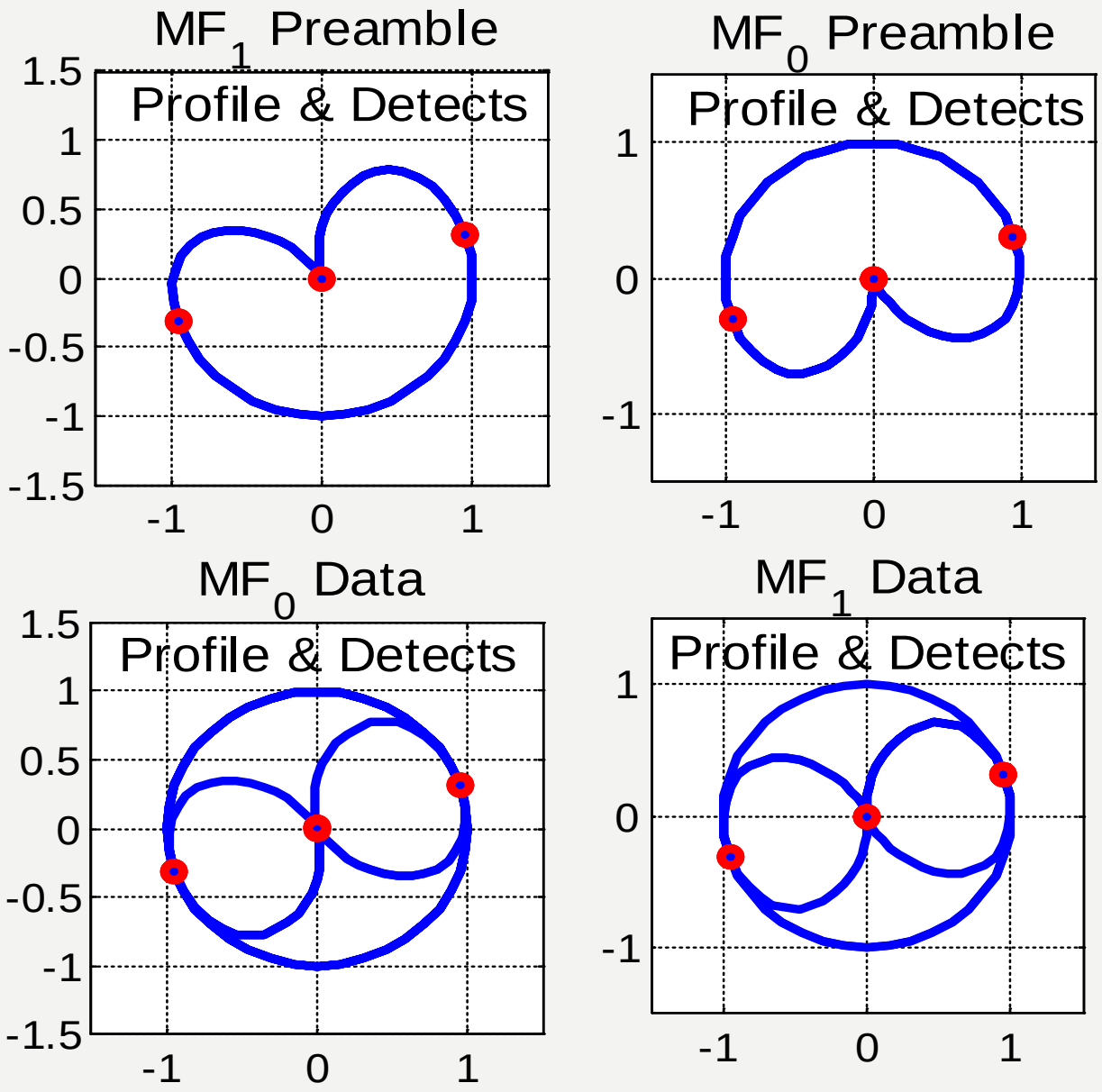


I & Q Input Signal and Two Matched Filter and the Sum of I & Q Responses of CPM Preamble Process.

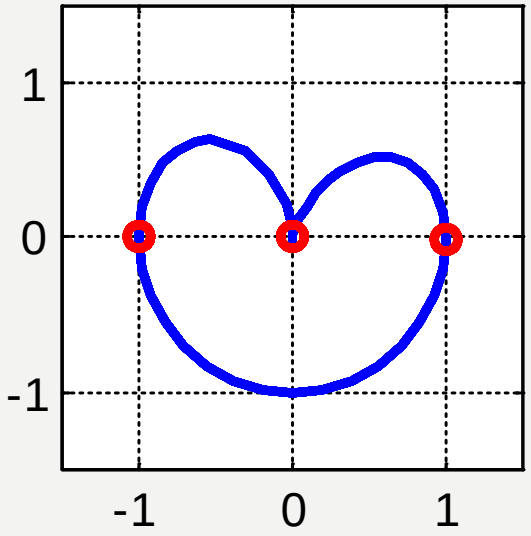


I & Q Input Signal, Two Matched Filters, and the Sum of I & Q Responses of CPM Random Data Process.

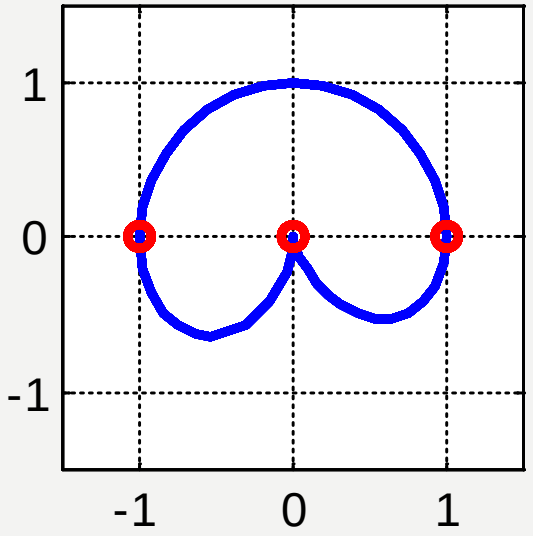



MF1 Preamble
Profile & Detects
MF0 Preamble
Profile & Detects
MF0 Data
Profile & Detects
MF1 Data
Profile & Detects

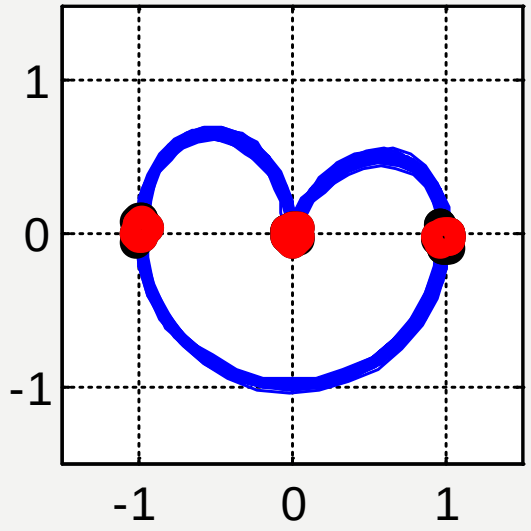
MF_1a Preamble Response



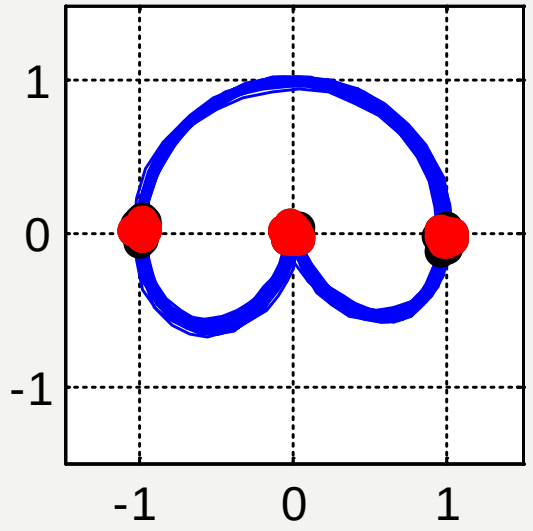
MF_1b Preamble Response



MF_1a Doppler Preamble Response

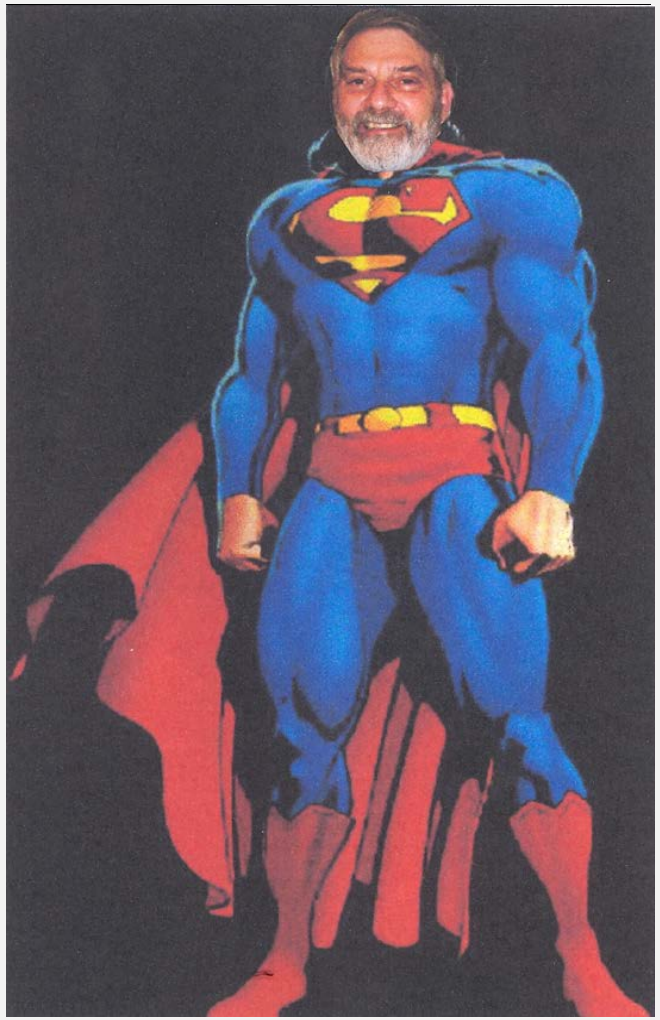


MF_1b Doppler Preamble Response



Interesting Thoughts about CPM

- Matched filter for pairs of symbols
- Preamble +1+1-1-1, can implement +1+1 filter and -1-1 filter
- Preamble +1-1-1+1, can also implement +1-1 filter and -1+1 filter
  can use them for random data as well
- Binary Random data, +1, -1: 2 matched filters
- Can form matched filters for all three-tuples: [A +1 B], and [A -1 B}.
  4 possible 3-tuple filters for each input symbol
- Quaternary Random data, +3, +1, -1, +3; 4 matched filters
  16 possible 3-tuple filters for each input symbol
- May be an alternate to trellis decoding of multi-h CPM



SOFTWARE DEFINED RADIO MAN

Is Open For Questions